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Abstract

The following chapter provides a brief overview on the prevalence, clinical features, and histological findings in chronically occluded coronary arteries. The role of coronary collaterals and myocardial viability as well as left ventricular function for the evaluation of treatment strategies of chronic total occlusions (CTO) will be discussed. Imaging modalities such as computed tomography and intracoronary imaging are discussed for their significance in CTO assessment and intervention. Finally, important clinical and procedural aspects, latest interventional strategies and techniques, the armamentarium of dedicated tools for CTO interventions, as well as evidence from published trials and clinical research in the field will be presented.

Keywords: chronic total occlusions, coronary artery disease, percutaneous intervention

1. Introduction

A chronic total occlusion (CTO) of a coronary artery is defined as complete closure of the vessel lumen for at least 3 months (Figure 1). The true prevalence of CTOs in the general population is unknown and assumed to be around 15–20% [1–3] but varies widely (30–50%) in patients with significant coronary artery disease (CAD) [1–5].

Percutaneous coronary intervention (PCI) of CTOs is considered to be the most challenging procedure in interventional cardiology and is associated with higher periprocedural failure and complication rates. At this, the presence of a CTO influences treatment recommendations and is a strong predictor against PCI as a treatment strategy [5].

CTO PCI in specialized centers is currently performed with success rates greater than 80% and decreasing complication rates, suggesting a favorable risk/benefit ratio supporting its
increasing selection as a treatment option [6]. However, discrepant CTO PCI quantity and success rates exist among catheterization laboratories [1] and may be explained by individual skills among operators, lesion assessment, and the absence of consensual treatment strategies. Recently, CTO PCI has become more predictable as a consequence of dedicated tools, standardized procedural techniques, and continuous educational programs.

Contemporary PCI strategies with dedicated devices significantly improved procedural success, and the introduction of drug-eluting stents (DES) led to better long-term patency with preservation of left ventricular (LV) function. Still, there is little systematic evidence that post-procedural outcomes have relevantly changed, although much retrospective data suggest CTO PCI as favorable.

2. Basics of chronic total occlusions

2.1. Definition

A “true” total occlusion is defined as a coronary lesion with thrombolysis in myocardial infarction (TIMI) flow grade 0. In order to be classified as “chronic,” the occlusion needs to be present for at least 3 months. It is difficult in clinical practice to determine the period of time for which a total occlusion has been present. The age of the occlusion is usually specified by detailed assessment of medical history and cardiovascular symptoms over the past 3 months [7–9]. Despite using contemporary criteria for CTO, Fefer et al. reported determined CTO duration in only 46% of cases, whereas another recent survey showed a known occlusion duration in 61% of CTO cases, with the undetermined duration of CTO as a predictor of procedural failure and major adverse cardiac events (MACE) [1, 10].
2.2. Prevalence and clinical features

In a recent report from the Canadian multicenter CTO registry, about 15% of patients without previous coronary artery bypass graft (CABG) surgery or known CAD and about 18% of patients with clinically significant CAD show at least one CTO on coronary angiogram [9]. In these registries, only 40% had a prior history of myocardial infarction (twice as high as without CTO), and more than 50% of CTO patients showed normal LV ejection fraction [11]. Furthermore, 64% of these patients underwent medical therapy, 26% were referred to CABG (with 88% successfully bypassing CTO), and only 10% underwent PCI of the CTO [1, 9]. In this study, only 5% of patients with a CTO were asymptomatic and it was in general difficult to attribute symptoms to the CTO in symptomatic multi-vessel disease (MVD) cases. Interestingly, recanalization of an occluded left anterior descending artery (LAD) rather than PCI of an occluded right coronary artery (RCA) results in greater increase of left ventricular function and more beneficial autonomic nervous system parameters with a potential antiarrhythmic effect [12].

Patients with CAD and CTO are mostly men, tend to be older, and usually have a higher cardiac risk profile. Interestingly, peripheral artery disease was found to be the strongest clinical predictor for the presence of a CTO [5]. In comparison to men, females with CTO tend to have less vessel disease, are usually older, have a higher frequency of hypertension and diabetes, and smoke less, but overall sex has no influence on CTO PCI failure [9, 13].

2.3. Spatial distribution of CTO

Few prospective surveys and a report from the National Heart, Lung, and Blood Institute (NHLBI) Dynamic Registry show CTO located in the RCA in over 50% of the cases [1, 14]. These figures are consistent with the Canadian multicenter CTO registry report, where, in most of the cases, CTO was found in the RCA (47%), 20% in the LAD, 16% in the left circumflex (LCX), and 17% in multiple locations [1, 9]. In a recent post-mortem analysis in CTO with and without CABG, CTO was most frequently located in the RCA (57.9%), followed by the LAD (22.1%) and LCX (20.0%), mainly located in the proximal segment (68.4%) of the vessel [15].

Garcia et al. examined the clinical and angiographic characteristics as well as clinical outcomes of >1300 consecutive CTO PCIs prospectively and retrospectively in multiple centers in the US. The study showed that proximal lesions were more common, and these patients had a higher prevalence of adverse comorbidities, mostly heart failure with reduced left ventricular ejection fraction (LVEF). Furthermore, proximal lesions had more adverse angiographic features (including proximal cap ambiguity, side branch at proximal cap, blunt or no stump, and moderate or severe calcification) but had more interventional collaterals and showed a higher angiographic complexity, resulting in longer and more complex procedures. The retrograde approach was used in half of the cases involving proximal CTO lesions and was successful in one-third of these cases. Surprisingly, procedural success and complication rates were similar to mid- and distal lesions [16].
3. Histopathology

Wang et al. demonstrated that acute coronary occlusions leading to segment elevation myocardial infarction (STEMI) seem to predominately occur in predictable spots within the proximal third of the coronary arteries and that for each 10 mm increase in distance from the ostium, the risk of an acute coronary occlusion significantly decreased by 13–30%, depending on the coronary vessel [17].

In contrast to this, sparse information exists concerning the genesis of CTO and its regional distribution in terms of recanalization. In some publications, soft plaque rupture during acute coronary syndrome (ACS) with rapid thrombotic occlusion followed by its organization is described as the main cause of CTO and only a few appear to derive from atheroma progression [18]. Furthermore, it seems that once thrombotic occlusion occurs the thrombus tends to disseminate retrograde from the site of occlusion to the proximal segments of the vessel with a major side branch [19]. It is known that due to increased chronic hypoxic induction of neovascularure, the affected vessel segment stays biologically active and shows a marked heterogeneity in compensatory angiogenesis with an unpredictable wide range of coronary collateral circulation [20].

3.1. Collaterals and microchannels in CTO

Successful guidewire crossing may be facilitated by the presence of intravascular microchannels, but structural changes over time with variable localization of these microvessels are not well understood in terms of CTO recanalization [21].

In a post-mortem study of 96 CTO lesions, 49% exhibited residual <99% lumen stenosis by histologic criteria despite angiographically documented total occlusions [22]. In this cohort, adventitia and intimal plaque of total occlusions were the prevalent zones of inflammation and neovascularization. Furthermore, the results revealed in CTOs of all ages a close relation between cellular inflammation and vessel wall neovascularization in terms of location and intensity with an increase in numbers of neovascular channels rather than with an increase in their size.

Munce et al. found two histological types of microvessels in a rabbit model with induced femoral occlusion: a circumferentially oriented “extravascular” and a longitudinally oriented “intravascular” one. Interestingly, extravascular vessels around the occluded artery developed to a maximum at an early time point, followed by a slow regression over time, while intravascular vessel formation within the central body of the occlusion was delayed, and these vessels became thinner and more tortuous over time. Strongly angulated connections between the intra and extravascular microvessels were constantly present, which could explain deviation of the guidewire into extravascular channels during CTO recanalization [21].

Katsuragawa et al. found different histomorphological features in CTOs with tapering of the proximal occlusion point compared to those with a blunt proximal cap [19]. A total of 80% of the tapering-type lesions had shorter occluded segments and showed small recanalized
areas with surrounding loose fibrous tissue along the occluded segment. In lesions with a blunt proximal cap, recanalization was rare, and a side branch was frequently found proximal to the occluded segment and easily entered by the guidewire, instead of the occlusion. These features influence penetration of the proximal cap and crossing of the guidewire through the occluded segment and explain why the tapering type of occlusion is favorable for angioplasty.

### 3.2. Remodeling in CTO

As atherosclerotic lesions develop in arteries, two types of remodeling can occur [23]. Positive remodeling is a compensatory process in which the arterial wall grows outward in an attempt to maintain a constant lumen diameter. Negative remodeling is angiographically defined as the ratio of the occluded vessel diameter to the diameter of the contiguous normal vessel <1 and was found to be the strongest predictor of failed antegrade CTO PCI [24, 25]. In negative remodeling, an early phase where fibrin-rich organizing thrombus becomes a proteoglycan-rich thrombus and a late phase where proteoglycan-rich thrombus within the CTO body is replaced by dense collagen, thus complicating antegrade wiring, were found [15].

### 4. Coronary collaterals

Collaterals are interarterial connections that exist during prenatal development of the coronary circulation and regress in most individuals [26]. They develop in a native occluded vessel through positive remodeling. With the low postocclusive pressure regions being interconnected by collateral vessels, pressure gradient along the occluded segment causes pulsatile shear stress and activates proliferation of vascular smooth muscle and endothelial cells. A complex interplay of actin-binding proteins, integrins and connexions, transcription factors, and mitogen-activated kinases finally leads to an increase in vascular diameter and tissue mass (positive remodeling), but still, the degree of functional restoration of blood flow capacity remains incomplete and ends at approximately 30% of maximal conductance in coronary vessels [27, 28].

The diameter of interarterial connections is usually below the spatial resolution of modern digital angiographic imaging systems (>200 μm) and ranges between 40 and 200 μm. Most of these connecting microvessels have been observed to be located intramyocardially, and only few reach the size of coronary side branches well above 1 mm in diameter [29].

It seems that occluded coronary arteries do not exclusively determine the level of functional collateral flow capacity and that some individuals without stenotic lesions do have immediately recruitable collateral flow to prevent myocardial ischemia during a brief coronary occlusion [30]. However, in patients without well-developed pre-existing interarterial connections, collaterals require between 2 and 12 weeks to fully reach functional capacity [31]. After successful CTO PCI, collateral function usually regresses in collaterals with small diameters but has the potential to recover in case of reocclusion [32].
4.1. Classification of collaterals in CTO

In 1985, Rentrop et al. developed an angiographic grading system to rate the effect of collaterals in filling the occluded arterial segment [33]. It distinguishes four degrees of collateral recipient artery filling by radiographic contrast medium, but in CTO with well-developed, spontaneously visible collaterals, it lacks further differentiation because most collaterals are Rentrop grade 3 (complete epicardial filling by collateral vessel of the target artery). The Werner classification adds an additional parameter to describe spontaneously visible collaterals and demonstrates a close association with clinical determinants of collateral adequacy [31]. Werner et al. graded collateral connections (CC) according to the angiographic visibility: CC0: no continuous connection between donor and recipient artery, CC1: continuous, thread-like connections (≥0.4 mm), and CC2: continuous, small side branch-like size of the collateral throughout its course (>1 mm). These CC grades are more practical to determine interventional collaterals suitable for retrograde CTO PCI (Figure 2).

![Figure 2](image_url). Interventional collaterals according to the Werner classification.
4.2. Assessment of collateral function

Generally, collateral circulation in CTO is predominantly systolic and provides only approximately 50% of antegrade coronary flow, which itself is predominantly diastolic [34]. The assessment of collateral function in CTOs has a different quality than in nonoccluded lesions. Collateral blood pressure distal of a chronic occluded vessel is assessed by placing a piezo-resistive transducer beyond the occlusion, while the antegrade flow has not yet been re-established. This can be ensured by passing occlusive microcatheters over a recanalization guidewire and then exchanged for the pressure wire [29].

4.2.1. Collateral flow index (CFI)

Intracoronary (IC) flow velocity or pressure measurements to determine collateral flow is theoretically based on the fact that velocity or perfusion pressure signals with values above central venous pressure (CVP) obtained distal to an occluded vessel originates from collaterals [35]. Measurement of such signals provides the variables for the calculation of a CFI, which expresses the amount of flow via collaterals to the vascular region of interest as a fraction of the flow via the normally patent vessel. In contrast to qualitative assessment of collaterals, such as ST-segment changes and chest pain during PCI or the degree of collateral circulation on angiogram prior to PCI, intravascular flow velocity and pressure determination precisely reflect collateral blood flow. Approximately one-third of collateral flow to the occluded area relative to the patent vessel flow is needed to prevent myocardial ischemia at rest [28]. Noteworthy, the majority of patients with MI do not have enough of the collateral flow to avoid ischemia during coronary occlusion [36] and only 10% seem to have a recruitable CFI ≥ 0.4 [36]. Insufficient collateral flow indicated by a CFI ≤ 0.25 independently predicts long-term cardiac mortality [37], and only 10% seems to have a recruitable CFI ≥ 0.4 [36]. Above that, individuals with CTOs tend to have a higher CFI than those without, and the area at risk of myocardial infarction seems to be significantly associated with CFI.

4.2.2. Fractional flow reserve in the donor artery and coronary steal

Microvascular vasodilation might lead to reduced collateral blood flow during physical or pharmacological provocation in individuals with collateral-dependent blood supply. In order to generate coronary steal, Werner et al. describes, in reference to Gould et al., the following assumption: epicardial stenosis of the donor artery causes a pressure drop proximal to the collateral origin; the collateral resistance is significant, and the microvasculature distal to the occlusion lacks a vasodilatory reserve due to being already maximally dilated [38]. Therefore, Werner et al. measured fractional flow reserve in the donor artery (FFRD) at the origin of the collaterals in patients with CTO and recorded coronary flow velocity and pressure during recanalization. Patients with steal had more severe regional dysfunction and those with steal but without an FFRD < 0.8 tended to have an impaired microvascular function. The authors concluded that coronary steal mainly occurs as a result of hemodynamically significant donor artery lesions and might have an adverse effect on the preservation of myocardial function by collaterals.
In 50 patients who successfully underwent CTO recanalization compared to 50 matched non-CTO PCI subjects, patients with CTO and an intermediate donor artery stenosis showed a low FFR$_D$ with a high frequency of ischemia in the donor artery territory, which was often normalized by successful CTO treatment, thus suggesting recanalization of CTO as a preferred therapeutic strategy. Reference: CCI 2014.

5. Myocardial viability and left ventricular function

It is in general difficult to predict which patient with stable ischemic heart disease will receive interventional or surgical revascularization in the long term, after initially being treated with optimal medical therapy (OMT). In the occluded artery trial (OAT), late opening of infarct-related arteries (IRA) post-MI in stable patients with persistent total occlusion and no severe inducible ischemia showed no difference in rates of reinfarction, death, or severe heart failure compared to OMT [39]. Nevertheless, the results of OAT in terms of CTO have to be interpreted with caution because total occlusions in this trial were subacute (3–28 days, median 8 days) and therefore did not meet the CTO definition of at least a 3-month duration. Furthermore, patients in OAT showed a relatively normal baseline LVEF of 48% and were rather asymptomatic, whereas CTOs considered for PCI should be symptomatic or have proof of ischemia and viability [40].

An ischemic burden above 12.5% favors PCI in patients with CTO undergoing pre- and post-interventional myocardial perfusion imaging, whereas subjects with mild pre-procedural ischemia (<6.25% of LV myocardium) tend to have increased ischemic burden after PCI [41]. Another magnetic resonance imaging (MRI) study significantly revealed reduction in inducible perfusion defects and improvement in segmental myocardial viability by successful CTO PCI compared to unsuccessful revascularization [42]. Furthermore, successful CTO PCI increases hyperemic and resting myocardial blood flow with enhanced regional contractility already 24 h after the procedure [43]. Patients with an infarction and a transmural involvement < 25% assessed by MRI show significant improvements in segmental wall thickening and a reduction of mean end-systolic and end-diastolic volumes after CTO PCI [44]. Finally, the diagnostic accuracy of pre-procedural contrast enhanced MRI in patients with CTO to detect myocardial infarction and to predict improvement of myocardial function after revascularization seems to be better by using a combined viability analysis rather than focusing on the widely used transmural extent of infarction [45].

6. Coronary computed tomography angiography

Coronary computed tomography angiography (CTA) is increasingly used to diagnose CAD and shows potential in predicting the probability of procedural success and clinical benefit in CTO PCI [46, 47]. In contrast to invasive coronary angiography, CTA offers better quantification of anatomical and morphological features in occluded vessels, especially in long lesions with pronounced tortuosity, and usually visualizes distal coronary segments more
precisely [48]. There are a number of CTA characteristics in CTO lesions to predict PCI failure. Some report calcifications >50% of the cross-sectional vessel area alone [49] or in combination with an occlusion length of >15 mm to be independent predictors of unsuccessful recanalization [50], while others revealed the ratio of calcification over the cross-sectional vessel area as being predictive for procedural failure [51]. Moreover, marked vessel tortuosity at the occlusion site seems to independently predict unsuccessful guidewire crossing [24] and multiple occlusions might have an adverse effect on revascularization’s outcome, as reported from the CT-Registry of Chronic Total Occlusion Revascularization (CT-RECTOR), probably due to reduced feasibility in guiding the wire through the multiple entry and exit points [46].

Conclusively, CTA features in CTO, as described above, may be applicable to assess severity of the occlusion and to predict PCI outcome in order to guide treatment decision, especially in complex lesions.

7. Imaging in CTO

CTO interventions are technically challenging due to limitations in visualizing occluded arteries by angiography. As mentioned before, ambiguous proximal CTO cap, side branch at the occlusion site, extended tortuosity, or heavy calcification with limited visibility of distal path are important angiographic features which increase procedural difficulties during CTO recanalization [7]. Multislice computed tomography (MSCT) can provide useful pre-procedural information on the dimension of vessel calcification or tortuosity along the occluded segment but does not offer direct guidance during the procedure. Intravascular ultrasound (IVUS) and optical coherence tomography (OCT) can add visual guidance during CTO PCI to improve procedure time, safety, and efficacy.

7.1. Intravascular ultrasound

In contrast to OCT, IVUS can be operated in occluded vessels throughout the whole interventional procedure. It is used to identify the best wire entry point for penetration of proximal fibrous cap or to visualize the guidewire to check intraluminal position before balloon angioplasty or stent deployment.

The IVUS probe is usually advanced into a side branch originating proximal to the occlusion to determine the vessel course within the CTO segment [52]. Standard IVUS catheters cannot generate information of the vessel distal of the occlusion, and their use is limited by the diameter and angulation of side branches [53].

Besides its antegrade applications, IVUS is used in retrograde procedures to guide retrograde guidewire crossing and reverse controlled retrograde tracking techniques such as reverse CART to improve success rate and limit complications [52]. Generally, when using the retrograde approach in longer CTO lesions, IVUS guidance can reduce the incidence of subintimal wiring with consecutive side branch loss after stenting, angiographic extravasation, coronary hematoma, and perforation [54, 55].
Furthermore, the incidence of restenosis [56] or stent thrombosis after DES implantation [57] is related to minimum stent area detected by IVUS and malapposition due to aneurysm formation after subintimal DES implantation during CTO PCI, and it can be optimized with the help of IVUS [55].

Although IVUS facilitates CTO PCI and has the potential to reduce periprocedural complications, the clinical benefit of IVUS-guided CTO PCI has not yet been proven, and further studies are needed [52].

### 7.2. Optical coherence tomography

OCT is more sensitive than IVUS in detecting coronary dissection during PCI and improves stent deployment or detection of acute complications. Furthermore, resolution of OCT is high enough to visualize microvessels, the different layers of the vessel wall, and even collagen concentration in coronary arteries [58].

In contrast to IVUS, conventional OCT, at the cost of penetration depth, has a 10-fold higher imaging resolution as the main advantage but is unable to generate images in completely occluded vessels and does not allow real-time intracoronary imaging for guidance of wire crossing. However, optical coherence reflectometry used in a combined OCT and radiofrequency ablation device might be able to minimize the risk of perforation and increase the crossing potential of the guidewire in CTO PCI [59].

### 8. Percutaneous intervention of CTO

Complication rates of CTO PCI were traditionally too high to justify these procedures and success rates were based predominantly on individual operator skills and annual case volume [60, 61]. A review of the NHLBI Dynamic Registry revealed a decrease of CTO PCI attempts from 9.6% in 1997/1998 to 5.7% in 2004 [62]. With the introduction of coronary stents, procedural success rates increased substantially and became more consistent across CTO studies [63]. In-hospital MACE and 1-year target vessel, revascularization (TVR) rates have declined by approximately 50% over the years. Patients with successful recanalization of a single-vessel CTO experience a higher 10-year survival rate compared to matched patients with a single non-CTO lesion [64].

Among the patients randomized to PCI in the Synergy between PCI with Taxus and Cardiac Surgery (SYNTAX) trial, CTO lesion were present in 24% and exhibited a low success rate of only 53% [65]. Furthermore, the presence of CTO was the single most common reason for a patient to be referred to surgery, and the prevalence of CTO was almost doubled in the CABG registry.

A metaanalysis from 18,061 CTO patients treated in dedicated high-volume CTO PCI centers and expert operators reported 77% procedural success and a 3.1% risk for MACE [6], whereas an analysis from the National Cardiovascular Data Registry revealed CTO PCI in daily practice to be successful in only 59% [66].
We have recently seen dramatic improvements in outcomes from a series of single- and multiple-operator registries with procedural success of up to 98% and MACE rates as low as 1.7% [67–70]. These results were mainly achieved through constant refinement of interventional techniques and dedicated interventional tools, ongoing knowledge exchange, and the development of standardized treatment algorithms. Most of the current CTO crossing techniques were made possible by the introduction of microcatheters and specialized guidewires. Further advances in CTO PCI will be dependent on the interplay between the development of recanalization techniques and interventional armamentarium.

8.1. Indications for CTO PCI

Indications for CTO PCI are in principle identical to the standard PCI of non-CTO lesions and are based on detailed clinical assessments (Figure 1). High procedural success rates in conjunction with low complication rates improve risk/benefit ratio and are paramount for the acceptance and dissemination of CTO PCI. Successful CTO recanalization has the ability to relieve angina [71], reduce ischemia [41] and the need for CABG [72], improve exercise tolerance [73], electrical stability [74], left ventricular function [44], and tolerance of future ACS [13, 75], and possibly survival [76, 77] with a similar risk compared to regular PCI of non-CTO lesions [3]. Table 1 summarizes the rationale for CTO PCI.

Asymptomatic patients with CTO demand additional ischemia and viability testing. As described above, cardiac MRI has the ability to quantify viable myocardium and detect transmural involvement and therefore may assist in patient selection and procedural planning [78].

Based on small retrospective studies and on expert consensus, American and European guidelines recommend CTO PCI in patients with evidence for substantial ischemia in a corresponding myocardial territory when performed by an experienced operator in case of adequate clinical indications and suitable anatomy with a class-IIa, evidence level B recommendation [79, 80].

<table>
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<th>Angina relief [71]</th>
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<tr>
<td>Reduction of ischemia [41]</td>
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<td>Improvement of exercise tolerance [73]</td>
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<tr>
<td>Improvement of left ventricular function [44]</td>
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<td>Improvement electric stability [74]</td>
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<td>Improved tolerance of future ACS events [75]</td>
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<tr>
<td>Reduced need for CABG [72]</td>
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<tr>
<td>Increased long-term survival in successful versus failed cases [76, 77]</td>
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Table 1. Rationale for CTO PCI [226].
8.2. Radial access for CTO PCI

Radial access is feasible for contralateral injections in CTO PCI but may be challenging when microcatheters and techniques with additional equipment are used [81, 82]. However, based on the availability of sheathless-guiding catheters with a larger interventional lumen, the radial approach has become more frequently used for both the antegrade and retrograde approach.

8.3. Procedural success in patients with CTO undergoing PCI or CABG

In the early days of interventional cardiology, CTO PCI was associated with very low success and relatively high complication rates [83–87]. This leads to a high number of patients undergoing surgery, which was also seen in the SYNTAX and the BARI (Bypass Angioplasty Revascularization Investigation) trial, where the presence of a CTO was a strong predictor for referral to CABG [4, 88].

Procedural failures during CTO are mainly due to the incapacity to pass the lesion with a guidewire, followed by failed balloon crossing, the inability to dilate the lesion, or a vessel perforation [60, 66, 89–91]. Traditional predictors for CTO PCI failure are increasing age of the occlusion, small vessel diameter, presence of calcium or a blunt stump, proximal cap ambiguity, excessive tortuosity, long occlusion length, bridging collaterals, and absent visibility of the distal vessel [72, 89, 92–95]. Furthermore, these lesions show a higher mean Multicenter CTO Registry of Japan (J-CTO) score and have collaterals that are less likely suitable for the retrograde approach [96]. However, additional angiographic features such as multivessel disease, previous CABG, and side branch at the proximal occlusion point seem not to be predictive for procedural failure with novel guidewire techniques [97].

Over time, with the improvement of both equipment such as microcatheters and dedicated guidewires with greater torque response [98] and recanalization techniques such as retrograde procedures, safe and effective CTO PCI became possible [60] and most of the prior obstacles vanished [99].

Only limited randomized data are available on the outcomes of patients with CTO undergoing CABG [100–102]. CTOs represent a difficult lesion subset also for surgical revascularization, thus leading to incomplete revascularization with 31.9% of CTOs referred for CABG not being surgically revascularized and 7.5% with occluded bypass grafts after 1 year [103]. At least one CTO is found in more than 50% of patients with CABG [1, 104].

In SYNTAX, the presence of a CTO was the strongest independent predictor of incomplete revascularization with 51% in the PCI arm and one of the major anatomic predictors for referral to CABG [105]. Interestingly, CABG enhances the progression of atherosclerosis and increases the risk for new CTOs in native coronary arteries, which itself represents an independent predictor of death, MI, and repeat revascularization in these patients [102, 103]. Moreover, long-term patency of saphenous vein grafts (SVG) is limited and is significantly lower than for second-generation DES (70 vs 90% at 5 years, respectively) [106]. Therefore, CABG might only be considered when complete arterial revascularization can be achieved,
and given the durability of LIMA-LAD grafts and superior patency of DES over SVGs to LCX or RCA, particularly in CTO cases, hybrid revascularization may represent future treatment options in selected patients [107, 108].

8.4. Predictive scores in CTO PCI

Scoring systems for CTO PCI are very helpful for case selection as well as to predict procedural efficiency and the probability for success and complications [109, 110]. The SYNTAX score, indeed, highly depends on the presence and specific features of CTO, with a single CTO contributing a substantial 10–15 points but is generally more suitable for diffused triple-vessel disease with and without involvement of the left main.

J-CTO [89] and CT-RECTOR [46] scores predict the likelihood of successful guidewire crossing within 30 minutes. The J-CTO score represents a standardized score of difficulty that predicts successful guidewire crossing within 30 minutes, is simple, easy to remember, and clinically applicable. However, the J-CTO score may be limited in some cases. The CL score considers both clinical and angiographic information, predicts success of a first antegrade attempt, and may be useful in centers where the retrograde or hybrid approach has not yet been implemented [111]. The progress CTO score includes four angiographic characteristics and should be applied when using the hybrid approach [112]. A comparison of these three scores for predicting success of CTO PCI showed a moderate performance in predicting technical outcome, with a favor for antegrade procedures [113]. A novel prediction model including age, ostial location, and collateral filling was also strongly associated with technical failure when using advanced recanalization technologies [70]. The ORA score, however, predicts technical failure by both antegrade and retrograde techniques and categorizes difficulty and success rate of CTO procedures into four groups.

Finally, the Mehran risk score is most widely used as a classic model for CIN after CTO PCI, but it is rather inconvenient in clinical practice because it was established only after contrast media exposure [114, 115].

8.5. Stents in CTO PCI

The use of bare-metal stents (BMS) after successful CTO PCI has been proven to be superior in terms of immediate angiographic success as well as long-term restenosis and reocclusion when compared with balloon angioplasty (POBA) alone [116–120]. DES in comparison to BMS shows again a significant reduction in TVR and adverse clinical events [121–126] although a trend toward a higher stent thrombosis rate was observed [127–129]. As a consequence, stent implantation following successful CTO PCI increased dramatically over time and reached nearly 100% at the turn of the millennium [130].

8.6. Bioresorbable vascular scaffolds in CTO

Bioresorbable vascular scaffolds (BVS) have potential long-term benefits compared with DES, thus being particularly reasonable in CTO [131]. A first feasibility analysis in 23 patients with
selected and simple CTO lesions demonstrated excellent 6-month and 1-year follow-up after BVS implantation, but these initial results need to be confirmed in larger studies with further long-term follow-up [132].

8.7. Relevance of the target vessel of CTO PCI

Studies have shown the prognostic importance of the anterior wall of the left ventricle [133, 134]. In accordance with these findings, successful CTO PCI is associated with an improvement in long-term survival as compared to CTO PCI failure in the subpopulation of patients with LAD CTO [76] (cohort from 1980 to 2004, overall stent use < 20%, only three patients received DES).

Results from a contemporary multinational CTO registry suggest that successful PCI of a CTO in only the LAD and the LCX, but not the RCA, is associated with improved long-term survival [135]. Over 90% of patients included in this analysis received a stent, mostly DES, which likely resulted in higher long-term patency. Due to higher anatomical complexity, the LCX is the least commonly attempted target vessel in CTO PCI with a lower rate of procedural success and a trend toward higher MACE rates [89, 95, 97, 98, 136, 137].

8.8. CTO and STEMI

Patients presenting with acute STEMI show an incidence of CTO up to 13% and tend to suffer poor immediate and long-term prognosis [94, 130, 138–147]. Several trials revealed a concurrent CTO in a non-infarct-related artery (non-IRA) as an independent predictor of short- and long-term mortality in STEMI patients undergoing primary PCI [148–150]. A metaanalysis of seven observational studies including 14,117 patients with a concurrent CTO in a non-IRA artery presenting with STEMI found a three-fold increase in mortality in both single- and multi-vessel disease cases [75]. Furthermore, concurrent CTO in a non-IRA in MVD was significantly associated with residual left ventricular ejection fraction (LVEF) early after STEMI and further decrease of LVEF in the first year after the index STEMI [13], and this seems particularly true for a CTO of the LAD [151].

The acute closure of the donor artery during STEMI leads to extensive myocardial ischemia in a two-vessel area with consecutive hemodynamic instability [144, 148, 152–155]. This is even more pronounced if the culprit vessel has impaired collateral filling itself [156].

8.9. Complete revascularization in CTO PCI

The most common reason for incomplete revascularization in PCI is the presence of a CTO [157], and incomplete revascularization associated with CTO carries a worse outcome and a higher risk of death compared with complete revascularization [158, 159]. The potential benefit of successful CTO PCI has been derived from retrospective analyses and mainly includes improvement of LVF in preventing heart failure [160], reduction of arrhythmias, and, above all, reduction of mortality, MI, as well as the need for repeating revascularization procedures [161]. Therefore, complete revascularization strategies after the index PCI for STEMI should include CTO procedures.
The EXPLORE (Evaluating Xience and Left Ventricular Function in Percutaneous Coronary Intervention on Occlusions After ST-Elevation Myocardial Infarction) trial was the first randomized controlled trial evaluating whether patients with STEMI and concurrent CTO in a non-IRA benefit from additional CTO PCI shortly after primary PCI [151]. In agreement with earlier registry studies, EXPLORE reported a survival benefit only for successful CTO PCI of the LAD but not for the RCA or LCX [76, 135].

Migliorini et al. studied 330 high surgical risk patients undergoing PCI for unprotected left main disease (ULMD) with more than one-third having at least one CTO [162] and found the presence of a concurrent CTO of the RCA in patients undergoing PCI for ULMD to be a significant predictor for mortality. In contrast to other studies, CTO of both LAD and LCX were not found predictive of worse outcomes. The fact that RCA CTO were attempted less frequently (51%) than CTO of the other two main coronary arteries (79%) may explain the prognostic impact of the RCA in this study.

In the SYNTAX trial, incomplete revascularization was associated with a significant increase in 4-year mortality [105]. The presence of a CTO was less likely to result in complete revascularization in both the PCI and CABG arms and was the strongest independent predictor of incomplete revascularization in the PCI arm. The very low rate of complete revascularization in the PCI arm (34.3%) compared with the CABG arm (64.8%) was mostly related to CTO PCI failure in approximately 50%.

8.10. Restenosis after CTO PCI

Long subintimally placed stents may attribute to a higher restenosis rate. They are typically seen with the STAR technique [163] and are more frequent after retrograde wire crossing [164]. DES are consistently superior over BMS. Second-generation everolimus-eluting stents have lower rates of restenosis after CTO PCI compared with first-generation DES [165], and PCI of a CTO in stent restenosis shows generally a high success rate with good long-term results [166]. Many studies on restenosis after CTO PCI, however, did not have angiographic follow-up despite the fact that reocclusion can be completely silent after CTO PCI [121, 122, 129, 164, 165, 167–173].

9. Specialized CTO recanalization techniques

As described above, CTO remains one of the most difficult subsets in interventional treatment of CAD patients and is generally considered to be challenging during a revascularization approach because of high procedural complexity. With the introduction of innovative catheter-based devices and the development of standardized treatment algorithms, CTO PCI has been increasingly performed with high success and low complication rates. At this, a thorough assessment of specific lesion-related factors and the use of a systematic step-up interventional strategy contribute to lower periprocedural comorbidities with better post-procedural outcome [98, 174].
Currently, there are three major CTO crossing techniques: (1) antegrade wire escalation (AWE), (2) antegrade dissection re-entry (ADR), and (3) retrograde procedures including retrograde wire escalation (RWE) and retrograde dissection re-entry (RDR).

9.1. Antegrade techniques

9.1.1. Antegrade wire escalation

AWE is the most widely used CTO crossing technique and is appropriate for short occlusions or extended ones where a remaining microchannel is expected [174, 175]. However, AWE was found to be unlikely successful in complex lesions [176].

Classical antegrade techniques are single wire-based starting with a soft hydrophilic wire seeking for microchannels, followed by gradual escalation to harder and stiffer wires [98]. Higher penetrating force is needed in more fibrous and calcified lesions, and nonhydrophilic wires represent a good alternative for loose tissue or intimal plaque tracking [98]. However, strong angulated lesions with evidence of bridging collaterals usually exhibit a higher risk of perforation, and the use of stiffer wires with a higher tip load and penetration force in these cases should be cautious [174]. Furthermore, gradually increasing wire tip load with the goal of finding the safest wire has the potential to decrease risk of perforation [98].

When performing AWE, the guidewire is advanced to the occlusion point, advanced across the lesion, and followed by the microcatheter that adds support and increases penetration power, allows wire exchange or wire reshaping, and finally maintains position once the lesion is crossed to place an extra support wire for balloon dilatation and stenting [69]. In case of subintimal positioning, the wire is guided back into the true lumen by different techniques or withdrawn and redirected if it leaves the target vessel [174].

Parallel wire techniques facilitate re-entry of the true lumen by leaving the first wire in the subintimal space to seal the false track and act as a marker. Continued manipulation of this wire close to the distal cap should be avoided as it can cause subintimal hematoma that compresses the distal true lumen and complicate re-entry. A second penetrating wire is therefore introduced using a microcatheter, and an attempt is made at redirection into the true lumen. Double lumen microcatheters contain both a monorail and an OTW port and are ideally suited for parallel wiring techniques.

Seesaw wiring involves simultaneous use of two microcatheters and wires and has the advantage of avoiding the need for complex exchange of OTW microcatheters. Also, wires can be reshaped and their roles switched promptly.

9.1.2. Antegrade dissection re-entry

ADR techniques make intentional use of a dissection plane in the subintimal space for crossing CTOs. This concept was first introduced by Antonio Colombo who originally advanced a knuckled guidewire through the subintimal space until it spontaneously re-entered into
the distal true lumen (subintimal tracking and re-entry technique) [177]. However, high restenosis and reocclusion rates are found in extensive subintimally stented lesions [165]. Therefore, ADR should not be enforced as a first-line technique. The mini-STAR was presented as bail-out technique and includes limited subintimal tracking distances [178] associated with improved outcomes [179]. Dedicated subintimal tracking and re-entry devices such as the CrossBoss catheter and Stingray balloon allow controlled re-entry into the distal true lumen from the subintimal space [180, 181].

9.2. Retrograde techniques

As complexity rises, advanced techniques are needed to improve procedural success. The retrograde approach has the ability to significantly increase success rates, particularly in challenging lesions (Table 2) and has become a widely used strategy for CTO PCI during recent years [182, 183]. Retrograde crossing of the CTO against the direction of blood flow is easier due to the softer, often tapered, and less ambiguous distal cap [15]. These properties in contrast to proximal cap morphology during an antegrade approach facilitate entering the CTO body with the retrograde guidewire. Additional advantages of the retrograde approach are found in the presence of ostial occlusions, unfavorable proximal cap (blunt stump, side branch), ambiguity of the occluded segment, poor distal target or distal bifurcation [184], and good interventional collaterals in post-CABG patients and in failed antegrade cases.

Retrograde CTO PCI can be performed via several collateral pathways including transseptal collaterals [185, 186], epicardial collaterals, and SVG [187]. Intraseptal collaterals are nonepicardial vessels, representing a safe route for CTO PCI with a lower risk of rupture, pericardial effusion, and tamponade [188]. The use of microcatheters seems to dramatically reduce injury to septal channels during a transseptal retrograde approach [189] and also increases the availability of additional routes through tortuous epicardial collaterals [190]. Previously, the CART technique with its retrograde approach was limited to the transseptal pathway in

| Ostial occlusion |
| Unfavorable proximal cap: blunt stump, side branch |
| Anatomic ambiguity of CTO body |
| Poor distal target |
| Distal bifurcation [184] |
| Good interventional collaterals |
| Bifurcation at distal cap |
| Post-CABG patients (retrograde access over bypass) |
| After failed antegrade attempt |

Table 2. Anatomical features favoring the retrograde approach.
nearly 80% and resulted in more balloon dilatations of the septal channels and a higher perforation rate [55, 191].

An in-hospital analysis of procedural and long-term outcomes from the European multicenter ERCTO registry demonstrated increased numbers of safe and successful retrograde procedures with good long-term outcomes [192]. However, the retrograde approach also seems to be independently associated with increased risk of periprocedural complications [193]. IVUS, as described above, can serve as a useful tool for the detection of procedure-related vessel damage and subintimal wire tracking to help guide retrograde CTO PCI [55].

9.3. The hybrid approach

The hybrid algorithm depicted in Figure 3 represents a combined strategy comprising AWE/RWE and ADR/RDR techniques (Table 3) [194, 195]. The fundamental principles in hybrid procedures require a special mindset and great flexibility in the approach with the ability to perceive failure modes early to quickly change strategy and to come back to abandoned strategies, if necessary. Experienced CTO operators aim for efficiency and look for multiple strategies with several options and different techniques. This skillset can be taught and transferred with implementation of the hybrid algorithm, ideally in a broader setting with operators of different experience levels to improve technical success while maintaining low complication rates. The adoption of only a few strategies will limit the patients who can be treated on the basis of coronary anatomy [82, 176, 186, 196, 197].

Figure 3. The Hybrid Algorithm. Adapted from Ref. [194].
LVEF  Left ventricular ejection fraction  
MACE  Major adverse cardiac event  
MI  Myocardial infarction  
MRI  Magnetic resonance imaging  
MSCT  Multislice computed tomography  
MVD  Multi-vessel disease  
NHLBI  National Heart, Lung, and Blood Institute  
OAT  Open artery trial  
OCT  Optical coherence tomography  
OMT  Optimal medical therapy  
PCI  Percutaneous coronary intervention  
POBA  Plain old balloon angioplasty  
RCA  Right coronary artery  
RCT  Randomized controlled trial  
RDR  Retrograde dissection and re-entry  
REVASC  Recovery of left ventricular function in chronic total occluded coronary arteries  
RWE  Retrograde wire escalation  
SCAAR  Swedish Coronary Angiography and Angioplasty Registry  
STAR  Subintimal Tracking and Re-entry  
STEMI  ST-segment elevation myocardial infarction  
STRAW  Subintimal TRAnscatheter withdrawal technique  
SVG  Saphenous vein grafts  
SYNTAX  Synergy Between PCI with Taxus and Cardiac Surgery  
TIMI  Thrombolysis In myocardial infarction  
TVR  Target vessel revascularization  
ULMD  Unprotected left main disease  

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