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## On the Capacity and Scalability of Wireless Mesh Networks

Yonghui Chen

*Dept. of Electronics and Information Engineering of HUST  
& Wuhan National Laboratory for Optoelectronic  
Hubei University of Technology*

### 1. Introduction

In practicable multi-user wireless networks, the communication should do among any nodes over the coverage. Since the nature of wireless channel is fading and share, the interferences and the collision becomes unable to avoid. It is difficult to balance reuse and interference while communications, location and mobility of each node are almost random. Considerate the cost, a practicable multi-user networking should have to be interference limited. Even though the Shannon capacity limitation for the single channel could be achieved by Turbo Coding (Berrou, Glavieux et al. 1993) or the MIMO (G.J.Foschini 1996) (E.Telatar 1999) technologies. In the other words, the capacity is always determined by the SIR or SINR. The flourishing cellular system and IEEE 802.11 networks are typical interference limited systems also.

It is well known that the capacity on networks is related to the networking architecture. For some type central controlled infrastructure system, e.g. a single cellular cell with FDMA CDMA or TDMA, the capacity upper bound is often assured. But the capacity on common wireless networks is still illegible, even including the multi-cell cellular system (T.M.Cover & J.A.Thomas 2006).

Without regard to the architecture and the access mode, the abstract capacity of a wireless system could be classified in two types:

- For the typical inference limited systems, the capacity of each node should be (Gupta & Kumar 2000; Kumar 2003) :

$$C_{node} = \theta(1 / \sqrt{K}) \text{ or } C_{node} = \theta(1 / \sqrt{K \log K}) \quad (1)$$

- For a X networking , in which each node has useful information to all the other nodes, the capacity of each node should be (Cadambe & Jafar 2007; Cadambe & Jafar 2008; Cadambe & Jafar 2009) :

$$C_{node}(SNR) = \theta(1) \quad (2)$$

Where  $\theta(\bullet)$  indicates the relation of equivalence;  $K$  is the number of nodes. Formula (1) shows that the capacity of a node is inverse ratio to the  $\sqrt{K}$  or  $\sqrt{K \log K}$ . In the other words, the capacity is decided by the SINR or SIR. Formula (2) shows the capacity could be

unattached to the number of the nodes in the system. In the other words, if all the signal power could be taken as useful mutual information other than interference, the capacity should be limited by the SNR other than used SINR or SIR. In fact, formula (2) assumed the networking as an ideal cooperative MIMO system.

For a X networking with S source nodes, D destination nodes and R relay nodes, say each nodes has full-duplex ability, the upper bound of capacity should be (Cadambe & Jafar 2007; Cadambe & Jafar 2008; Cadambe & Jafar 2009):

$$C_{node}(SNR) = \theta[SD / K(S + D - 1)] \quad (3)$$

This means the capacity on multi-hop systems should be less than the one hop system. However, Wireless mesh network (WMN) has been regarded as an alternative technology for last-mile broadband access, as in fig 1.

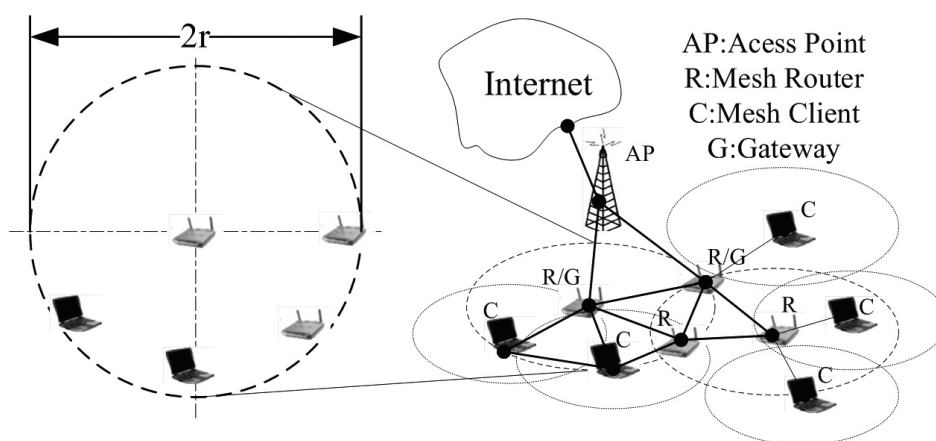


Fig. 1. A typical application of WMN. Typical nodes in WMN are Mesh Routers and Mesh Clients. Mesh clients form ad hoc sub-networks. Mesh routers form the mesh backbone for the mesh clients. Each node in WMN could act as a relay, forwarding traffic generated by other nodes.

Most industrial standards groups are actively specifying WMN, e.g. IEEE 802.11/802.15/802.16 and 3GPP LTE. For the combination of infrastructure and self-organized networking brings many advantages such as low up-front cost, robustness and reliable service coverage, etc. While WMN can be built upon existing technologies, spot test proved that the performance is still far below expectations. One of the most challenge problem is the available capacity based practicable rule (Goldsmith 2005). Generally, similar capacity problems are slid over by simpler resource redundancy (Akyildiz & Xudong 2005). In this paper, the Asymptotic Capacity on WMN will be talked about, mainly based on the former paper (Chen, Zhu et al. 2008).

## 2. Characteristic of multi-hops wireless mesh networking

### 2.1 The optimal architecture of multi-hop networking is still illegible

The shared channel leads to hidden terminals and exposed terminals (Gallager 1985). It is a series of handshake signals that could resolve these problems to a certain extent (Karn Sept. 1990; Bharghavan, Demers et al. Aug. 1994). In balance, the capacity has to bound the successful throughput on collision-free transmissions as in fig 2.

Due to lack of any centralized controls and possible node mobility, it is hard to transplant the mature techniques from the central controlled or wired networking to the multi-hops wireless networking with high resource efficiency, which used to rely on the networking infrastructure (Basagni, Turgut et al. 2001) (Haartsen 2000) (Akyildiz & Xudong 2005; Nandiraju, Nandiraju et al. 2007). And the medium access scheme is also a challenge for the self-organized networking (Gupta & Kumar 2000): Use of TDMA or dynamic assignment of frequency bands is complex since there is no centralized control; FDMA is inefficient in dense networks; CDMA is difficult to implement due to the inorganization networking. It is hard to keep track of the frequency-hopping patterns and/or spreading codes for all the nodes. the optimal architecture to the multi-hop systems is still illegible (Goldsmith 2005).

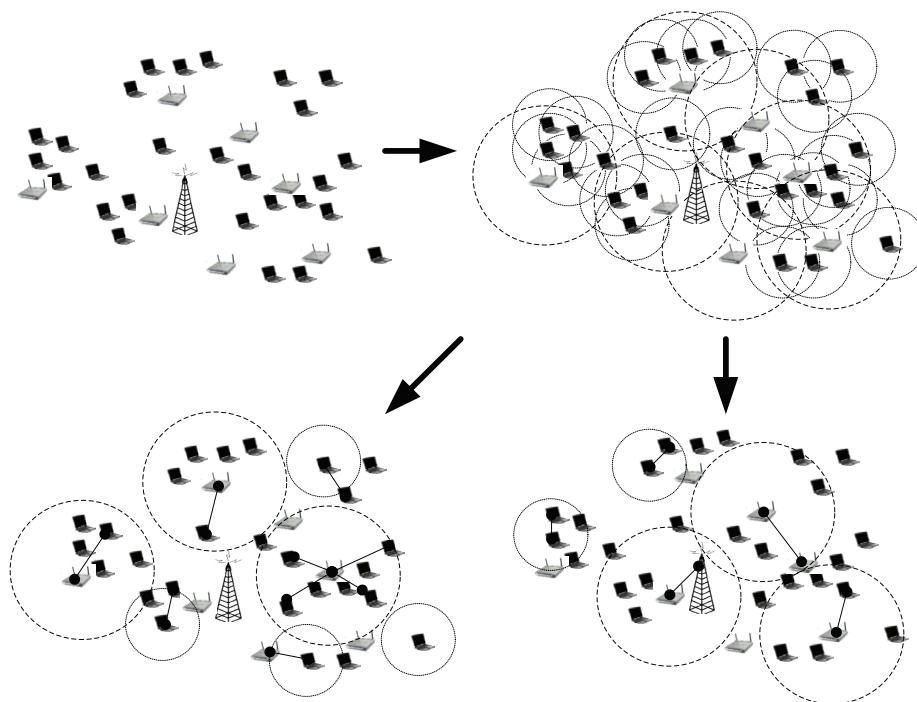


Fig. 2. Whether one hop networking or multiple hop networking, practicable wireless communication system should be based on available resource reuse. The communication should be hop by hop.

## 2.2 Power Gains of ideal multi-hop link

With an ideal linearity multi-hop chain, obviously the shorter propagating distance the more power gains. Say  $\sigma_n^2$  is the noise variance,  $P$  is the transfer power of each node,  $K \cdot d^{-\gamma}$ ,  $\gamma \geq 2$  is the path loss, where  $K$  is constant,  $d$  is the whole distance and  $\gamma$  is path loss factor. Thus the end to end frequency normalized capacity is:

$$C = \log \left[ 1 + \frac{K \cdot P}{\sigma_n^2 d^\gamma} \right] \quad (4)$$

Say  $N_{\text{hop}}$  is the number of hops.  $d_i$  is the distance of the  $i$ -th hop, obviously  $d \leq \sum_{i=1}^{N_{\text{hop}}} d_i$ . Say  $d_{\text{max}} = \max\{d_i\}$ , thus:

$$C = \log \left[ 1 + \frac{KP}{\sigma_n^2 d_i^\gamma} \right] \geq \log \left[ 1 + \frac{KP}{\sigma_n^2 d_{\max}^\gamma} \right] \quad (5)$$

Since  $N_{\text{hop}}$  times relay, the SNR gain of  $N_{\text{hop}}$  systems is:

$$\left[ \frac{1}{N_{\text{hop}}} \left( \frac{KP}{\sigma_n^2 d_{\max}^\gamma} \right) \div \left( \frac{KP}{\sigma_n^2 d^\gamma} \right) \right]_{\text{dB}} = 10 \lg \left( \frac{1}{N_{\text{hop}}} \left( \frac{d}{d_{\max}} \right)^\gamma \right) \quad (6)$$

Where  $N_{\text{hop}} \geq 1, \gamma \geq 2$ . If  $d / d_{\max} = N_{\text{hop}}$ , the gain is  $10(\gamma - 1) \lg(N_{\text{hop}})$  dB.

### 2.3 Constraints of multi-hop systems

Even if the multi-hop link is ideal, increasing with  $N_{\text{hop}}$ , the link need at least  $N_{\text{hop}}$  times transfer cost, e.g. the delay will be direct ratio with  $N_{\text{hop}}$ . Say the maximum capacity of each hop is constant 1. As a) in fig 3, despite of the hidden and exposed terminals problems, the last hop near the destination node is the bottleneck determining the capacity, with the fairness scheme. It is obviously that capacity per-node is  $1 / N_{\text{hop}}$ . As b) in fig 3, with virtual circuit mode, each hop relay has the same payload, thus there is only one efficient payload from the source to the destination, capacity per-node also is  $1 / N_{\text{hop}}$ . In balance either absolute fairness scheme or monopolization mode, the utmost throughput per-node is  $1 / N_{\text{hop}}$ .

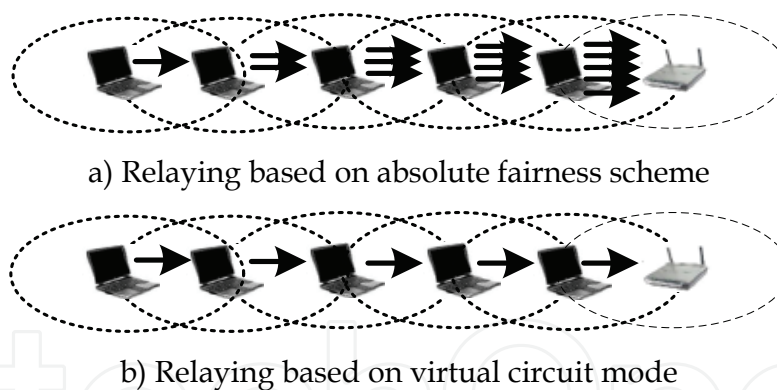


Fig. 3. Constraints of multi-hop systems

Due to the shared channels, the hidden and exposed terminals problems are inevitable in multi-hop fashion communication. By using multiple channels/radios, or the other methods to decrease the delay, but the transfer do not truly enhance the resource utilization efficiency.

Considerate access competition, say each hop is independent and has probability  $p_c$  to success, if the transfer time is limited to 1, thus the access probability of a  $N_{\text{hop}}$  hops chain is:

$$p_s = p_c^{N_{\text{hop}}} \quad (7)$$

If without limitation of retransfer times, the access probability is 1:

$$1 = \prod_{i=1}^{N_{hop}} \sum_{i=0}^{\infty} p_c (1 - P_c)^i \tag{8}$$

Say the delay of each competition time is  $T$ , the expectation of total delay is:

$$\begin{aligned} E(T_D) &= T \cdot \sum_{j=1}^{N_{hop}} \sum_{i=0}^{\infty} (1+i) \cdot P_c \cdot (1 - P_c)^i \\ &= T \cdot N_p / P_c \end{aligned} \tag{9}$$

Take the average retransfer times regarded as:

$$N'_{hop} = N_{hop} / P_c \tag{10}$$

Thus the actual spectrum efficiency is:

$$1 / N'_{hop} = P_c / N_{hop} \tag{11}$$

**2.4 Mobility is dilemma**

There are many research focus on mobility of mesh nodes (Gupta & Kumar 2000; Jangeun & Sichitiu 2003; Tavli 2006). It could proved that the mobility of nodes, either random or bounded, could improve the capacity of multi-hop wireless networks by deducing the hops between the source-destination chains, as in fig 4(Grossglauser & Tse 2002; Diggavi, Grossglauser et al. 2005). But Mobility is obviously a dilemma problem. Because too much mobility limited the capacity of multi-hop wireless networks, if considerate the cost (Jafar 2005)

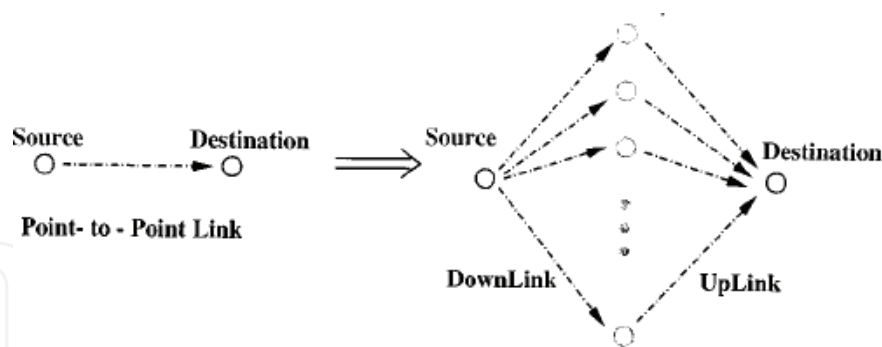


Fig. 4. Say the mobility is random, the mobile relay node has enough storage, the node as in a certain area or move along a fix path. The message could be transfered to the destination in probability with less hops.

**3. Probability model on random multi-access multi-hop system**

**3.1 Assumption**

- Say  $R$  is the radium of wireless network coverage, and  $N$  is the number of nodes on the area, thus the node density is  $\rho_D = N / \pi R^2$  ;
- Considerate the path fading, Say each node has the same coverage,  $r$  is the radium;



- $\omega$  denotes the transfer capability during a transfer period. Say  $\omega$  is the same for each node;
- Say the location of the nodes is symmetrical if the scale is larger than  $2(1+2\Delta)r$ , and the locations is random if the scale is smaller than  $2(1+2\Delta)r$ . Where  $\Delta$  is the interference limitation factor. Thus the number of node in a node cell,  $n_{cell}$ , is random.
- Say each node learn the transfer direction and send the message to these direction, and there is ideal whole networking synchronization, thus if one node get the channel at a competition slot, the transfer will be success during the next slot. In the other words, if each node has the same sending probability and similar payload, each hop of the multi-hop chain could be model as independent.

### 3.2 Traffic model

The networks traffics could mainly be classified in three styles: unicast traffic (Gupta & Kumar 2000), multicast traffic (Tavli 2006) and backhaul traffic (Jangeun & Sichitiu 2003). Note that the capacity of broadcast traffics and the backhaul traffics are equivalent in (Jangeun & Sichitiu 2003; Tavli 2006). The collision domain of backhaul traffics obviously happen to the nodes near the gateway, while the broadcast traffics are transferring the same payload. In any case, each transmission traffics must be hop-by-hop even if the node has possible mobility as in (Grossglauser & Tse 2002; Diggavi, Grossglauser et al. 2005). This means that the efficiency of a multi-hop chain is decide by the hops, at least partially. And each node in the chain(s) could carry no more than  $\omega / N_{hop}$  efficient payload. For the different traffics there are different equivalent hops.

- For unicast traffics, Take  $N_{hop}$  as the sum hops in the multi-hop chain;
- For broadcast traffic, Take  $N_{hop}$  as the sum hops of all the broadcast source-termination pairs;
- For multicast traffic, Take  $N_{hop}$  as the sum hops of each multi-hop chain.

### 3.3 The connectivity model

The model is similar to the connectivity model in (Miorando & Granelli 2007). Model the spatial positions of each nodes as a Poisson distribution as in (Miorando & Granelli 2007) (Takagi & Kleinrock 1984). We have assumed each node could get the neighbors positions information, thus each node transmits its traffic directly to the very neighbor and the probability has k forward node is:

$$p(k; \lambda = n_f) = \frac{e^{-n_f} n_f^k}{k!} \quad (12)$$

For Omni-antenna, take  $n_f = n_{cell} / 2$  as in [20]. For smart antenna technology,  $n_f$  could be a weighted  $n_{cell}$ . Denote  $E(.)$  as the mathematical expectation. In any case:

$$n_f = c_1 E(n_{cell}), \quad c_1 \in [0, 1] \quad (13)$$

For simplify the analysis, normalized  $\rho$  as  $n_{cell} / N$ , thus

$$\rho = E(n_{cell}) / N = (\rho_D \pi r^2) / (\rho_D \pi R^2) = (r / R)^2 \quad (14)$$

(13) can be rewrite (15) as:

$$n_f = c_1 \rho N, \quad c_1 \in (0,1], \rho \in (0,1] \quad (15)$$

By the model, the probability a node has no available next hop relay or terminal node is:

$$p_{isol} = P(k=0; n_f) = e^{-n_f} \quad (16)$$

$$E(p_{isol}) = e^{-E(n_f)} = e^{-c_1 \rho N} \quad (17)$$

### 3.4 The access model

Even if a node has available relay, it does not mean the node could always transmit the message successfully. With fading and shared wireless channels, a competitive access should be necessarily either in fully self-organized systems or partially self-organized system. Therefore, a node with sending probability  $a$  does not mean has the accessible probability  $a$ . Assumed that the whole networking is synchronous as IEEE 802.11 DCF (Pham, Pham et al. 2005; Samhat, Samhat et al. 2006; Khayyat, Gebali et al. 2007), and the nodes have the same probability to send. Thus the collision of each-hop is independent and has the same probability distribution. In any case, assumed each node could send the message successfully with probability  $u$ , while the sending probability is  $a$ , with some backoff algorithm. Thus the successfully probability of a  $n$  hop chain is:

$$p_f = u^n \quad (18)$$

The mathematical expectation of  $p_f$  is:

$$E(p_f) = \sum_{k=1}^{\rho_D \pi (r+\Delta)^2} \frac{e^{-n_{cell}} n_{cell}^k}{k!} (u)^k \quad (19)$$

Where take  $\lambda = n_{cell} = \rho_D \pi r^2 = \rho N$ . Considerate the collision probability will increase rapidly with the density of the nodes, in this case  $u \cdot n_{cell}$  will be smaller.

$$E(p_f) \approx e^{-\rho \cdot N} (e^{u \cdot \rho \cdot N} - 1) \quad (20)$$

while  $\rho_D \pi (r+\Delta)^2 \geq 5$ .

## 4. Asymptotic capacity model on multi-hop systems

### 4.1 The capacity model

Say the traffic over the  $j$ -th sub-channel has  $h_{i,j}$  hops. Derived from the throughput definition in (Gupta & Kumar 2000), the average capacity of each node can be defined as:

$$C_{X(i)} = \sum_j^{N_{ch}(i)} \left\{ \prod_{hop=1}^{h_{i,j}} [(1 - p_{isol,hop}) p_{f,hop}] \right\} \omega_{i,j} / h_{i,j} \quad (21)$$



Thus:

$$\begin{aligned}
 E(C_{X(i)}) &= E \left\langle \sum_j^{N_{ch}(i)} \left\{ \prod_{hop=1}^{h_{i,j}} [(1 - p_{isol,hop}) p_{f,hop}] \right\} \omega_{i,j} / h_{i,j} \right\rangle \\
 &= \sum_j^{N_{ch}(i)} \left\{ \prod_{hop=1}^{h_{i,j}} \left[ [1 - E(p_{isol,hop})] E(p_{f,hop}) \right] \right\} \omega_{i,j} / h_{i,j} \\
 &= \sum_j^{N_{ch}(i)} [(1 - E(p_{isol})) E(p_f)]^{h_{i,j}} \omega_{i,j} / h_{i,j}
 \end{aligned} \tag{22}$$

For multiple sub-channel just provide more QoS with more complexity without more available capability, the capacity formula could be simplified as single channel:

$$E(C_{X(i)}) = [(1 - E(p_{isol})) E(p_f)]^{h_i} \omega / h_i \tag{23}$$

#### 4.2 The upper bound on capacity for unicast traffics

Derived from "arbitrary networks" in (Gupta & Kumar 2000) and formula (23), the upper bound capacity on the ideal unicast traffics happens to be while each node just communicates to the one hop neighbors,  $h_{ij} = 1$ , and has maximum  $N/2$  communication pair, obtain:

$$E(C) = \sum E(C_{X(i)}) = \frac{N}{2} (1 - E(p_{isol})) E(p_f) \omega \tag{24}$$

And the normalized capacity is:

$$S = \frac{E(C)}{N\omega} = \frac{1}{2} (1 - E(p_{isol})) E(p_f) \tag{25}$$

#### 4.3 The upper bound on capacity for broadcast traffics

Case broadcast traffics, in a networks with  $N$  nodes, the  $N$  nodes received the same message from the same source, thus the average efficiency almost is  $\omega/N$  when  $N$  is large enough. The upper bound on capacity for broadcast traffic is:

$$\begin{aligned}
 \arg \max[E(C)] &= \arg \max \left[ \sum_i E(C_{X(i)}) \right] \\
 &= \frac{1}{N} \arg \max \left\{ \sum_i [(1 - E(p_{isol})) E(p_f)]^{h_{i,j}} \omega_i \right\}
 \end{aligned} \tag{26}$$

Say  $D$  is the radius of the area covered WMN; define  $M = \lceil D/r \rceil$ . For simplify analysis, say  $D$  is divided exactly by  $r$ , thus  $M=D/r$ . As in fig 5, the nodes covering the  $k=0$  circle just needs one hop to the AP; the nodes covering the  $k=1$  ring needs at least two hops. Thus the nodes covering the  $k$  ring,  $k \leq M$ , need at least  $k+1$  hops. It is obviously that the number of nodes in the  $k$  ring is:

$$N_k = (2k + 1)(r / D)^2 N = (2k + 1)N / M^2 \tag{27}$$

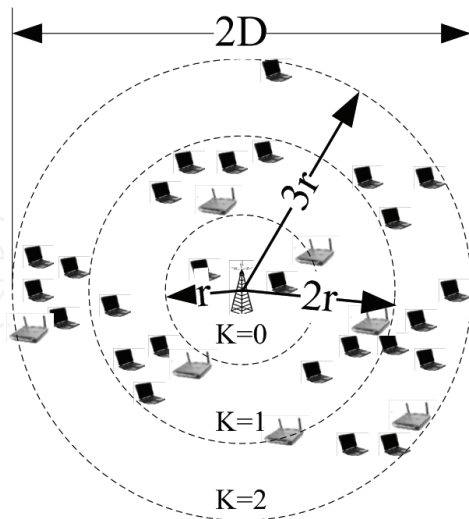


Fig. 5. A scenario for broadcast traffics, case M=3

If the number of AP is 1,

$$\begin{aligned} \max[E(C)] &= \frac{\omega}{N} \left\{ \sum_{k=0}^M ((2k + 1)N / M^2) [(1 - E(p_{isol}))E(p_f)]^{k+1} \right\} \\ &= \frac{\omega}{M^2} \left\{ \sum_{k=0}^M (2k + 1) [(1 - E(p_{isol}))E(p_f)]^{k+1} \right\} \end{aligned} \tag{28}$$

And the normalized capacity is

$$S = \frac{\max[E(C)]}{N\omega} = \frac{1}{NM^2} \left\{ \sum_{k=0}^M (2k + 1) [(1 - E(p_{isol}))E(p_f)]^{k+1} \right\} \tag{29}$$

If there are  $N_A$  APs, for each AP, similarly get

$$N_{k,R} = (2k + 1)N / M_A^2 N_A, \quad k = 0, 1, 2, \dots, M_A \tag{30}$$

$$\begin{aligned} \max[E(C)] &= \frac{\omega}{N} \max \left\{ N_A \sum_{k=0}^{M_R} N_{k,R} [(1 - E(p_{isol}))E(p_f)]^{k+1} \right\} \\ &= \frac{\omega}{M_A^2} \bullet \max \left\{ \sum_{k=0}^{M_R} (2k + 1) [(1 - E(p_{isol}))E(p_f)]^{k+1} \right\} \end{aligned} \tag{31}$$

$$S = \frac{\max[E(C)]}{N\omega} = \frac{1}{NM_A^2} \bullet \max \left\{ \sum_{k=0}^{M_R} (2k + 1) [(1 - E(p_{isol}))E(p_f)]^{k+1} \right\} \tag{32}$$

#### 4.4 The upper bound on capacity of backhaul traffics

For the backhaul traffics, each multi-hop chains has the same capacity  $\omega / h$ , thus:

$$\max[E(C)] = \arg \max \left\{ \sum_i \left( \sum_j^{N_{ch}(i)} [(1 - E(p_{isol}))E(p_f)]^{h_{i,j}} \omega_{i,j} / h_{i,j} \right) \right\} \quad (33)$$

Similar say  $M = D / r$  is constant, If there are 1 mesh routers obtaions:

$$\max[E(C)] = \frac{N\omega}{M^2} \left\{ \sum_{k=0}^M (2k+1) [(1 - E(p_{isol}))E(p_f)]^{k+1} / (k+1) \right\} \quad (33)$$

$$S = \frac{\max[E(C)]}{N\omega} = \frac{1}{M^2} \left\{ \sum_{k=0}^M (2k+1) [(1 - E(p_{isol}))E(p_f)]^{k+1} / (k+1) \right\} \quad (34)$$

If there are multiple routers:

$$\max[E(C)] = \frac{\omega N}{M_A^2} \cdot \left\{ \sum_{k=0}^{M_R} (2k+1) [(1 - E(p_{isol}))E(p_f)]^{k+1} / (k+1) \right\} \quad (35)$$

$$S = \frac{\max[E(C)]}{N\omega} = \frac{1}{M_A^2} \cdot \left\{ \sum_{k=0}^{M_R} (2k+1) [(1 - E(p_{isol}))E(p_f)]^{k+1} / (k+1) \right\} \quad (36)$$

## 5. Conclusion

Say  $E(p_{isol})$  is constant, which the density of a networks is constant, the capacity on a network is decided by the access probability. With (20), to get the extremum, obtain:

$$\frac{d[E(p_f)]}{dv} = -\rho \cdot N \cdot e^{v \cdot \rho \cdot N} \neq 0 \quad (37)$$

$$\frac{d[E(p_f)]}{d\rho} = -v \cdot N \cdot e^{-v \cdot \rho \cdot N} + N \cdot e^{-\rho \cdot N} \quad (38)$$

$$\frac{d[E(p_f)]}{dN} = -v \cdot \rho \cdot e^{-v \cdot \rho \cdot N} + \rho \cdot e^{-\rho \cdot N} \quad (39)$$

(38) and (39) leads the same conclusion:

$$\rho \cdot N = \frac{\ln v}{v-1} \quad (40)$$

While

$$E(p_f) = e^{-\rho \cdot N} \left( e^{v \cdot \rho \cdot N} - 1 \right) = e^{-v \cdot \frac{\ln v}{v-1}} - e^{-\frac{\ln v}{v-1}} \quad (41)$$

The relationship of (40) is shown in fig 6

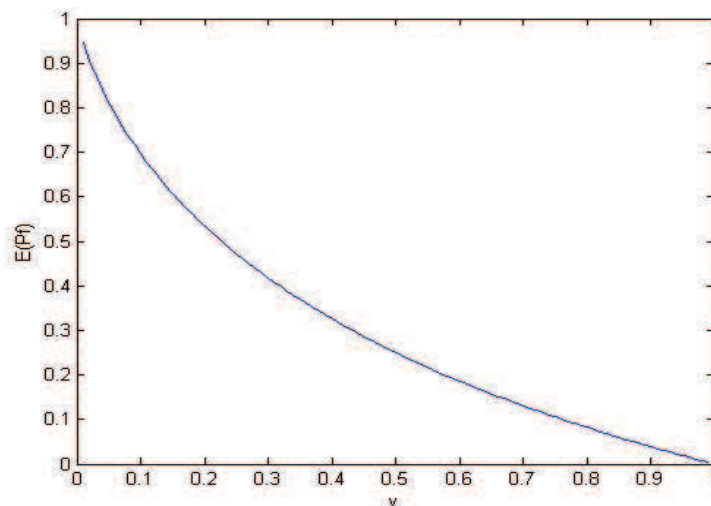
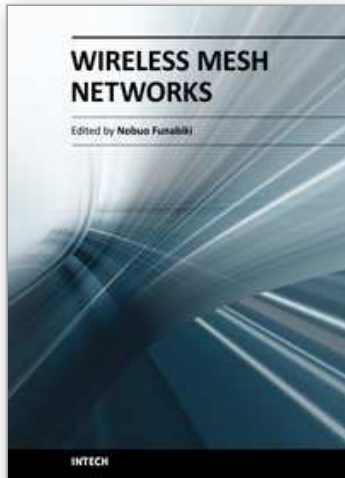


Fig. 6.  $E(p_f) - v$  relationship

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## **Wireless Mesh Networks**

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The rapid advancements of low-cost small-size devices for wireless communications with their international standards and broadband backbone networks using optical fibers accelerate the deployment of wireless networks around the world. The wireless mesh network has emerged as the generalization of the conventional wireless network. However, wireless mesh network has several problems to be solved before being deployed as the fundamental network infrastructure for daily use. The book is edited to specify some problems that come from the disadvantages in wireless mesh network and give their solutions with challenges. The contents of this book consist of two parts: Part I covers the fundamental technical issues in wireless mesh network, and Part II the administrative technical issues in wireless mesh network,. This book can be useful as a reference for researchers, engineers, students and educators who have some backgrounds in computer networks, and who have interest in wireless mesh network. It is a collective work of excellent contributions by experts in wireless mesh network.

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No.65, Yan An Road (West), Shanghai, 200040, China  
中国上海市延安西路65号上海国际贵都大饭店办公楼405单元  
Phone: +86-21-62489820  
Fax: +86-21-62489821

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