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Nondestructive Characterization of Drying Processes of Colloidal Droplets and Latex Coats Using Optical Coherence Tomography

Yongyang Huang, Hao Huang, Zhiyu Jiang, Lanfang Li, Willie Lau, Mohamed El-Aasser, Hsin-Chiao Daniel Ou-Yang and Chao Zhou

Abstract

In this chapter, we review the applications of optical coherence tomography (OCT) on the nondestructive characterization of the drying processes of colloidal droplets and latex coatings. Employing time-lapse, high-speed imaging, OCT can be used to monitor the dynamic process of drying colloidal droplets. With the aid of high-scattering, micron-sized tracer particles, fluid flows have been captured; phase boundaries are also visible in liquid crystal droplets; and the speckle contrast analysis differentiates the dynamics of particles, showing the packing process and the coffee ring phenomenon. In a waterborne latex coat, time-lapse OCT imaging reveals spatial changes of microstructures, i.e., detachment of latex, cracks, and shear bands; with speckle contrast analysis, 1D and 2D particles’ packing process that is initiated from latex/air interface can also be monitored over time. OCT can serve as an experimental platform for fundamental studies of drying colloidal systems. In the future, OCT can also be employed as an in-line quality control tool of polymer coatings and paints for industrial applications.

Keywords: optical coherence tomography, drying, colloidal droplet, waterborne latex, liquid crystal droplet, time-lapse imaging, speckle

1. Introduction

In this chapter, we describe the applications of optical coherence tomography (OCT) on the characterization of drying dynamics of colloidal systems. Specifically, we will use OCT in combination with other modalities (i.e., gravimetry and video recording) to characterize two drying models: (1) drying colloidal droplets and (2) drying latex coat. The entire drying processes of these systems can take from a few minutes for microliter droplets to a few hours or days for thick-film latex in Petri dishes. Given the high-speed imaging capability with a temporal resolution on the order of milliseconds, OCT can detect the flow of fluid or particle motions. Given the nondestructive nature of OCT, time-lapse OCT can be used to monitor the drying processes of droplets and latex coats.
2. Drying process of colloidal droplets

Drying of the colloidal droplets has been a subject of great interest since the 1980s [1]. Studies of a drying droplet, including changes of drying rate and contact angle, the progression of its shape, and final deposition pattern, can help us understand many interesting phenomena, such as coffee ring effects [2], electro-wetting effects [3], and Marangoni effects that are driven by surface tension gradients [4]. Mechanisms behind these phenomena may involve a complex interplay of convection and evaporation, surface tension and capillary force, particles’ interactions, rheology, substrate hydrophobicity, pinning of the contact line, and Marangoni forces [3, 5]. During drying, unlike pure water droplets, the constituents in colloidal droplets, including various concentrations of particles [6], polymers [7–9], surfactants [10], solvents [11], and salts [12] will alter the interactions among these mass transfer mechanisms, yielding different drying behaviors. Additionally, environmental conditions such as temperature and humidity and the substrate properties also affect the drying process and final deposition [13, 14]. Insights gained from these studies of evaporating droplets may ultimately translate to practical applications in polymer science, biomedicine, and nanotechnology [14], such as inkjet printing [15], DNA chip [16], biosensor [17, 18] and disease diagnosis based on deposition pattern of biological fluid [19–22], food quality analysis [23], particle separation [24], and production of nanoparticles for drug delivery [25, 26]. All above-mentioned applications are related to mass transportation during drying of a liquid suspension and the resultant film property.

Using OCT to monitor the drying process of colloidal droplets has been conducted in a few studies. Table 1 summarizes the details of experimental designs for these studies. In conjunction, Figure 1 illustrates the schematics of the drying process of these colloidal droplet models. Trantum et al. demonstrated the first study to utilize OCT to visualize the cross-section of drying water droplets with suspended particles (see Figure 1A) [27]. Low concentration of polystyrene, melamine formaldehyde, or silica particles with a diameter of 1 μm and a volume fraction of 0.005% was suspended in distilled water. The water droplet was loaded on a hydrophilic glass slide. The drying experiment was conducted in the ambient condition with relative humidity (RH) controlled to 30 or 40%. A commercial spectral-domain OCT system with a central wavelength of 860 nm and a spectral bandwidth of 51 nm was used to image the droplet. Axial and lateral resolutions of the OCT system were 6.4 and 8 μm, respectively. The scan rate of the system was set to 10 kHz. Their results showed that both the sedimentation rate and evaporation rate would affect the drying dynamics of particle-containing water droplets. In fast evaporating mode, the descending rate of drop surface was faster than the rate of particle sedimentation, resulting in the particles being trapped at the air-water interface (top surface). Close to the water-substrate interface, the “coffee ring” flows (CF) played a major role to transport the particles to the pinned contact line, yielding a “coffee ring” final deposition pattern. Given the same particle size, increased particle densities, i.e., silica (2.00 g/cm³), as compared to polystyrene (1.04 g/cm³) lead to early sediment of particles and early transportation of particles to the edge by “coffee ring” flows. Their results suggested a way to control the final deposition of coffee ring structure based on particles’ density.

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Based on the previous observations of drying colloidal droplets, the same group proposed design of biosensor utilizing the final deposition pattern to track the existence and concentration of target biomarkers (Figure 1B) [17]. In the experiment, the tracer particles were coated with antibodies. These particles would aggregate in the presence of target biomarker (M13 bacteriophage in the study). Polydimethylsiloxane (PDMS) with low thermal conductivity (0.15 W/mK) was
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Optical Coherence Tomography and Its Non-medical Applications

### References

- Trantum et al. [27]
- Trantum et al. [17]
- Manukyan et al. [28]
- Davidson et al. [3]
- Edwards et al. [29]
- Huang et al. [30]

<table>
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<tr>
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*PS: polystyrene, MF: melamine formaldehyde, Si: silica.
**Particle surface was functionalized with anti-M13.
***LCLC: lyotropic chromonic liquid crystal. SSF: sunset yellow FCF.
****Optical transparency in near-IR wavelength range.

Table 1.

**OCT studies on drying droplets.**
used as the substrate. Thus, a temperature gradient was established along with the interface, inducing a surface tension gradient and promoting Marangoni flows. During the drying process, the particle aggregates in the presence of biomarkers showed a thicker convective flow pattern in the cross-sectional OCT images, while the dispersed particles showed a uniformly distributed convective flow pattern in the absence of biomarkers [17]. Under the influence of Marangoni flows, increase of viscosity by glycerol, and effect of gravity, the particle aggregates were concentrated and deposited at the droplet center, forming a concentric final deposition pattern. Note that, with the glass substrate, the particle aggregates will not concentrate at the droplet center.

Manukyan et al. reported using OCT to characterize the internal flows in a drying model paint droplet (Figure 1C) [28]. Commercial model paint droplets with various initial volume fractions were characterized. Copolymer microspheres were mixed in the model paint droplets as tracer particles. Model paint droplets with an initial volume of 5–8 μL were loaded on hydrophilic or hydrophobic substrates, and their drying behaviors were monitored separately. A spectral-domain OCT system was utilized to perform time-lapse imaging of model paint droplets. The central wavelength and the spectral bandwidth of the system were 930 and 100 nm. Axial and lateral resolutions of the OCT system were 7 and 9 μm, respectively. The OCT system can scan a maximum transverse range of 4 mm. In their results, the model paint droplets on a hydrophilic substrate exhibited an outward radial flow pattern and a donut-shaped final deposition pattern in cross-sectional OCT images, indicating that the drying processes of these droplets were driven mainly by the coffee ring effect. However, on the hydrophobic surface, a reversed Marangoni flow was established in the drying of model paint droplets, shown as the convective flow moving down along the surface and then moving inward along the droplet-substrate interface in the OCT image. As the model paint droplet continued to dry, the formation of a skin layer was observed inside the droplet. At this stage, no convective flows were observed. A cavity was observed beneath the outer skin layer in OCT cross-sectional images.

Davidson et al. investigated the drying process of water droplets containing lyotropic chromonic liquid crystals (LCLCs), using polarized optical microscopy (POM) and OCT (Figure 1D) [3]. LCLCs are composed of organic, charged, and plank-like molecules [31, 32]. During the drying process of LCLC containing
droplets, changes of local temperature and concentration of LCLCs induce multiple phases of the LCLCs, including isotropic, nematic, columnar, and crystalline phases [3]. In the experiment, a droplet containing sunset yellow FCF (SSY), a dye that belonged to LCLC family, was loaded on a premium coverslip of the substrate. Polystyrene particles were added in the droplets as tracers to track fluid flows. A semi-enclosed PDMS chamber was used to slow down the drying rate, yielding a total drying time of ~10–15 min for the LCLC containing droplets with an initial volume of ~0.2–0.5 μL. To visualize the small tracer particles in the LCLC containing droplet, an ultrahigh-resolution (UHR) spectral-domain OCT system was employed, with a central wavelength of 800 nm and a spectral bandwidth of 220 nm. Axial and lateral resolutions of the UHR-OCT system were 1.9 μm and 3.5 μm, respectively. The camera’s scan rate was set to 20 kHz. Figure 2 shows the development of drying SSY solution droplet by UHR-OCT. High-speed time-lapse OCT imaging showed that the convective flows were initiated right after the SSY solution droplet was loaded on the substrate. Substantial Marangoni flows were visualized in time-lapse OCT images, which were established due to the increased concentration of SSY particles near the pinned contact line during the evaporation, leading to increase in local surface tension gradient along with the droplet interface. In the next stage, the formation of the nematic phase (N) pushed the isotropic (I)-nematic phase boundary to the center. Finally, the isotropic phase diminished at the droplet center. A volcano-shaped final deposition pattern is observed for SSY solution droplets.

Recently, Edwards et al. utilized OCT to investigate the flow patterns of the drying binary liquid droplets (Figure 1E) [29]. Low concentration of solvents, i.e., ethanol or n-butanol, was diluted in the water solution to form binary liquid droplets. To monitor the drying binary liquid droplets, a 1300 nm OCT system was employed, with an axial resolution of 5.5 μm and a lateral resolution of 13 μm.

Figure 2.
A scan range of 2.5 mm was set, which was sufficient to cover the whole droplet. Different from the previous three studies, they investigated the flow pattern under different tilting conditions, including the sessile droplet mode with 0° tilting and pendant droplet mode with 180° tilting. In the tilted configuration, axisymmetric toroidal flow patterns were observed by OCT in the middle of drying processes. In the sessile droplet, a convective flow pattern in the same direction as expected by Marangoni driven flow was observed. However, in the pendant droplet, the flow direction was opposite to the Marangoni flow direction, suggesting that Marangoni flows might not dominate in the evaporating binary liquid droplets. They suggested that the convective flows were driven by the density of the liquid and gravity. In the case of the water-ethanol droplet with the preferential evaporation of ethanol, the binary liquid close to the air-water interface was denser than the bulk. Thus, surficial binary liquids would fall along the air-water interface from top to edge in sessile droplets and from edge to bottom in the pendant droplets, driving convective flows in different patterns. Also, they monitored the full drying process of the binary liquid droplets with OCT, showing a three-stage drying process, which are chaotic flows, convective flows, and outward “coffee ring” flows.

3. Drying process of colloidal latex droplets

A latex (or emulsion polymer) is a stable colloidal system with polymer particles suspended in an aqueous solution. The size of polymer particles ranges from a few nanometers to a few hundred, and the sedimentation can be neglected [31, 33]. A latex is usually synthesized by the emulsion polymerization procedure [31, 34]. Thanks to their ability of film formation, latexes can be used in application including the binder in waterborne paints [35], waterborne pressure-sensitive adhesives [36], inkjet printing [37, 38], sunscreen [39], paper coating [40, 41], drug tablet coating [42, 43], carpet backing [44], and evaporative lithography [45–47].

Drying process of latex is inhomogeneous. That is, latex particles distribute nonuniformly, spatially, and temporally. As the latex continues drying, the inhomogeneous distribution can lead to the formation of drying defects. The drying inhomogeneity can occur in both horizontal and vertical directions. In the horizontal direction, the evaporation rate is faster on the droplet edge than in the center. Further, the “coffee ring” flows drive the particles from the center to the edge, resulting in a final “coffee ring” deposition pattern, an uneven coating surface [32, 48, 49]. In the vertical direction, particles aggregate on the top surface, forming a “skin layer” that inhibits the drying process [33, 50–54]. Furthermore, if the glass-transition temperature ($T_g$) of particles is above room temperature, particles are stiff, and cracks would be seen in the latex [54–58]. To create uniform evaporation, it is important to understand and characterize the drying latex with different $T_g$, particle size and surfactant concentrations.

Using OCT to characterize the drying process of the waterborne latex droplets has been an active research effort, with an emphasis on observing drying inhomogeneity. In recent studies by Huang et al. [54, 59], the waterborne latex droplets contained polystyrene particles with different sizes, initial solid contents, and surfactant solid contents (L latex: particle size, ~125 nm; initial solid content, 40.11 wt%; surfactant solid content, 0.2 wt%. S latex: particle size, ~53 nm; initial solid content, 33.43 wt%; surfactant solid content, 1.4 wt%). To monitor the drying process of the latex droplets, the integrated OCT-gravimetry-video platform [30] was employed. The spectral-domain OCT system in the integrated platform had a central wavelength of 1320 nm and a spectral bandwidth of 110 nm. Axial and
lateral resolutions of the system were 6.8 μm and 14 μm, respectively. The camera’s scan rate was set to 20.7 kHz. The maximum imaging depth and lateral scan range were 2.2 mm and 5 mm. In the experiments, latex droplets with a volume of ~5 μL were loaded on a cleaned glass slide. Experiments were conducted in the ambient condition. The total drying time for both L and S latex droplets were ~13–15 min.

Time-lapse, M-mode (repeated frames) OCT imaging was initiated after ~80 s from the loading of latex droplets, with a time interval of ~10 s.

Figure 3 showed time-lapse OCT imaging of drying L and S latex droplets. In Figure 3A and B, a domain boundary was clearly observed inside the L latex droplet with distinct scattering properties. The outer layer had lower scattering intensity, and inner layer had higher scattering intensity. OCT speckle contrast analysis [30, 54] was further carried out on the same data. In the outer layer, the higher speckle contrast indicated that motions of particles in these regions were restricted. The dark center inside the L latex droplet inferred that the particles were active in Brownian motions. With these analyses, we confirmed our observation that particles’ packing occurred from the droplet edges and propagated inward, similar to the drying latex coat in the Petri dish [30]. The packing of particles was also observed in S latex droplet, in both OCT structural images (Figure 3D–F) and speckle images (Figure 3I and K). Particles began packing on the air/latex interface. In Figure 3I, we observed that the horizontal packing process was much faster than the vertical packing process in L latex, which was attributed to faster evaporation rate at the pinned contact line at droplet edge than the apex of the droplet. In S latex droplet, the vertical packing process was delayed as compared to the horizontal packing process. At ~410 s, we could barely see a thin layer in Figure 3F, indicating the existence of a vertical packed layer. The vertical layer was clearly visible until ~500 s. As a comparison, the vertical packed layer was clearly visible in the L latex droplet at ~300 s. This can be explained by the difference of diffusivity ($D = \frac{k_B T}{6\pi \eta R}$) [60]. Larger particles have less diffusivity than small particles, and thus small particles tend to counteract the drying and impede the packing process on the top.

The particle droplets were fully packed at ~410 s for L latex droplet and ~630 s for S latex droplet.

Figure 3.
Drying progression of latex droplets showing the horizontal and vertical packing process. ~5 μL latex droplets containing larger (L latex; particle diameter, ~125 nm) and smaller (S latex; particle diameter, ~53 nm) polystyrene particles were loaded on the glass slide, with initial solid contents of 40.11 and 33.43 wt%, respectively. OCT structural images of L (A–C) and S (D–G) latex droplets clearly showed domain boundaries between surficial packed region close to air-latex interface and inner suspension regions with different scattering properties. OCT speckle contrast analysis (H–K) further confirmed the inhomogeneous particles’ packing process for L and S latex droplets, with different particles’ mobilities in the packed and suspension regions. Image cited from Refs. [54, 59].
To sum up, the utilization of OCT was demonstrated to reveal the drying inhomogeneity in waterborne polystyrene latex droplets. The time-lapse OCT results showed that both L and S latex droplets exhibit particles packing processes in horizontal and vertical directions, but with a difference of packing speed between them. This high $T_g$ particle can be seen as a model system to illustrate the effect of particle compaction only without the complication of particle consolidation or deformation. Further research using low $T_g$ latex particles can potentially shine light on the full process of film formation, including particle compaction and consolidation.

4. Drying process of latex coats

OCT can also be employed to monitor the drying process of the latex coat. As shown in Figure 4A, OCT scans a small area on a large uniform latex coat in a Petri dish. Given the nondestructive-imaging capability, OCT can perform the cross-sectional imaging of the drying process of latex coat that simulates the real paint/coating applications and provide a characterization of internal structures, different drying behaviors, and drying inhomogeneity along the vertical direction of the latex coat. Previously, Lawman and Liang [61] and Saccon et al. [62] have reported using OCT to monitor the drying process of varnish, in which average refractive index, surface roughness, and thickness have been characterized. Huang et al. furthers the application of OCT on investigating the drying phenomena of latex coat, including the cross-sectional imaging and particles’ dynamics analysis [30, 54]. Combining OCT with gravimetric and video measurements can fully characterize the drying process of polystyrene latex coat [30]. Among these modalities, time-lapse OCT imaging can show the local microstructures, 1D vertical drying process, and drying inhomogeneity from the cross-sectional view of the drying latex. Figure 4 shows a representative OCT imaging of a latex coat containing polystyrene particles (L latex). The composition of L latex coat with particle size ~125 nm is the same as the colloidal latex droplet in Section 3. The L latex coat was loaded in an 8.5-cm-diameter Petri dish, with an initial thickness of ~1 mm. The visual appearance of a drying latex coat is presented in Figure 4A, with solid content ($k_s$) of 42.9 wt% at ~30 min. Figure 4B shows time-lapse OCT characterization result of the full drying process of the L latex coat. On the top of the time-lapse OCT intensity profile, four drying stages were labeled based on OCT observations of drying phenomena, including the packing process, consolidation, stress relaxation, and final drying stage. In Figure 4B, a linear decrease of the thickness of the L latex coat in the packing stage and the detachment of the latex film bottom in the stress relaxation stage were shown. During the stress relaxation stage, the scattering intensities change, suggesting the rearrangement of particles to release the internal stress accompanied by the infiltration of air. In the final drying stage, the latex coat remains uniform without any significant changes of the scattering intensity or thickness. Figure 4C shows a demonstration of OCT to visualize particles’ packing process in L latex coat. In zoomed-in time-lapse OCT intensity profile within the first ~180 min (Figure 4C, top), the separation of packed and suspension layers can be seen based on the scattering light intensity variation. The packed/suspension domain boundary is visible and highlighted by a dotted curve. Next, a speckle contrast analysis [30] identifies particles’ dynamics in these two domains. In time-lapse OCT speckle image (Figure 4C, bottom), the particles’ dynamics in these two domains can be clearly distinguished. In the upper domain, the high speckle contrast ($K_s$) indicates that particle...
movement is restricted in the packed layer. Conversely, the low $K_s$ value in the lower domain infers that these particles are freely moving in the suspension layer. Based on speckle contrast, the packed/suspension domain boundary can be easily identified and plotted. After the domain boundary is identified, the packed layer thickness changes can be derived from the time-lapse OCT speckle image.

Formation of shear bands is observed in the polystyrene latex coat, shown in 2D OCT structural image in Figure 4D. At ~212 min, the shear-band structure starts to form, indicated by the bright crosses inside the latex. The observed shear-band structure in cross-sectional OCT image is similar to the shear-band structure investigated by Yang et al. [63] and Kiatkirakajorn and Goehring [64]. The shear band is postulated to be attributed to the dislocation of packed latex particles due to the internal
compressive stress along the vertical direction. These dislocations allow the air to infiltrate, resulting in a high refractive index mismatch between the air and the latex polymer or water in the dislocation, making the shear bands visible under OCT [30].

5. Discussions

In the previous sections, the feasibility of OCT is shown to characterize the drying processes of colloidal droplets and latex coats. OCT can provide cross-sectional views to observe the internal structures of the colloidal droplets and latex coats. Novel observations of shear-band structure and particles’ packing process in the latex coat are shown. Based on the cross-sectional images, quantitative analyses can be conducted on drying droplets and latex coats, including contact angle of the droplet and the thickness of the latex coat. With the high-speed imaging capability, OCT can track different types of fluid flows with the aid of micron-sized tracer particles, especially the convective fluid flow. By doing the speckle contrast analysis, the packing process and the coffee ring phenomenon can be accurately imaged. The nondestructive nature of OCT enables monitoring of a full drying process to reveal the time-dependent changes, such as the phase changes of liquid crystal droplets and the consolidations of latex particles. Taken all these advantages, OCT can play an important role in fundamental studies of drying colloidal droplets and latex coats.

In OCT images of the drying colloidal materials, the fluid flows have been visualized by tracking the trajectories of micron-sized tracers. The choice of the tracers in colloidal droplets depends on the optical transparency as well as the OCT system resolutions in both axial and lateral resolutions. Empirically, the colloids with a low initial concentration of suspended materials are transparent, and the colloids with a high concentration of suspended materials (e.g., colloidal latex droplets) are semi-transparent or opaque. In order to distinguish the tracers, the light scattering properties (e.g., refractive index) of the tracers should be significantly different from the bulk colloidal droplet. Based on light scattering properties, polystyrene, gold, and titanium oxide (TiO$_2$) particles can be potential candidates for tracers. The size of tracers should be close to the OCT system resolution to resolve individual tracers.

For latex coats, the field of view (FOV) for a standard OCT system (a few millimeter square) only covers a small area of the latex coat. Expanding FOV for OCT systems may enable the observation of time-dependent horizontal drying inhomogeneity, such as drying front propagation. One simple approach to expand FOV is to use an objective with a lower numerical aperture, with a trade-off of the lateral resolution. An alternative approach is to utilize parallel beams to image the latex at different spots. A parallel-imaging OCT system with a space-division multiplexing technique was demonstrated by Huang et al. previously to perform wide-field imaging with simultaneous eight-channel illumination, covering an area of 18.0 × 14.3 mm$^2$ [65].

We should note that OCT measures the optical path length instead of absolute distance in the vertical direction. To derive the latex thickness, the measured optical path length value is divided by the predetermined refractive index values, assuming the refractive index remains relatively constant in space and time. Temporal and spatial variations of the refractive index, which is not considered in our experiments, may result in quantification errors in time-lapse thickness measurements.

6. Conclusions and future perspectives

In this chapter, recent progresses have been summarized on utilizing OCT for investigation of drying processes of the colloidal droplets and latex coats.
In colloidal droplets, high-speed OCT imaging can show different types of flows with the help of micron-sized tracer particles, involving the radial coffee ring flows, Marangoni flows, and density-driven flows. In addition, phase evolutions of liquid crystal droplets can be observed in OCT cross-sectional images. For colloidal latex droplets and latex coats, 1D or 2D particles’ packing process can be visualized with speckle contrast analysis to characterize particles’ dynamics, without adding any tracer particles. Long-term structural changes of drying latex can be investigated continuously, such as crack formation, detachment, and shear-band structures in the polystyrene latex. In these studies, the advantages of OCT are shown to provide the cross-sectional views of the droplets and latex coats with good depth-resolvability, deep penetration, good temporal resolution, and the capability of long-term, nondestructive characterization.

OCT has opened new opportunities to facilitate the fundamental studies of the interface and colloidal science to characterize different drying models. Other than sessile or pendant droplets, OCT can characterize levitated droplets to monitor different types of flows or particle motions. 1D confined droplet [66] or 1D confined flows in microfluidic channels can be imaged by OCT to characterize particles’ dynamics. For latex coats, it is possible to explore the effects of additives (such as surfactant and water-soluble polymers) on the drying process of latex coats with the established integrated OCT system. In the future, OCT can be used as a tool for in-line evaluation of polymer coatings and paints for industrial applications.

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Conflict of interest

The authors declare no conflict of interest.
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