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Fact versus Conjecture: Exploring Levels of Evidence in the Context of Patient Safety and Care Quality

Maryam Saeed, Mamta Swaroop, Daniel Ackerman, Diana Tarone, Jaclyn Rowbotham and Stanislaw P. Stawicki

Abstract

Evidence-based medicine (EBM) can be defined as the integration of optimized clinical judgment, patient values, and available evidence. It is a philosophical approach to making the best possible clinical decisions for individual patients. Based on objective evaluation and categorization of methodological design and data quality, all existing literature can be organized according to a hierarchy of “evidence quality” that helps determine the applicability and value of scientific findings in terms of clinical implementation and the potential to change existing patterns of practice. In terms of general categorization of scientific impact, randomized controlled trials (RCTs) are placed on top of the hierarchy, followed by systematic reviews of randomized controlled trials (RCTs), quasi-randomized designs, observational studies including retrospective case series, and finally case reports and expert opinion. Each study design is susceptible to certain limitations and biases, highlighting the importance of both clinical and scientific acumen of the interpreting provider. Such approach is critical to determining the value and the applicability of study recommendations in everyday practice. Evidence-based practice (EBP) has become one of the fundamental components of modern medicine and plays an indispensable role in the development (and improvement) of patient care and safety worldwide. Furthermore, organizations that create guidelines and policies for the management of specific conditions, often base the content and strength of their recommendations on the quality of evidence available to expert decision-makers. Therefore, understanding the “state of the science” upon which those recommendations are based will help guide the medical practitioner on “if, when and how” to apply evidence-based guidelines in his or her everyday medical or surgical practice. This chapter focuses on clinically relevant application of levels of scientific evidence (LSE) and the corresponding levels of clinical recommendation (LCR) in the context of care quality and safety.
1. Introduction

Evidence-based medicine (EBM) is a scientific approach to clinical problems, intended to help clinicians make the best possible decision for their patients, and the “best decision” being defined as one that incorporates the relevant evidence applied through the expertise of a practitioner while preserving patient autonomy and safety [1, 2]. At its core, EBM combines two fundamental principles. First, evidence by itself is never sufficient to make a clinical decision and should be combined with clinical expertise and adapted to each patient’s unique case. Second, practitioners need to be aware how much confidence can be placed in a particular recommendation, thus creating the need for establishing pre-determined levels of scientific evidence (LSE) to help guide the decision-making process [2].

During the past two decades, the introduction of EBM has contributed to a dramatic shift in clinical practice patterns [3–5]. Wide-scale implementations of EBM principles across institutions formed a foundation for better and more streamlined decision making among physicians, contributing to gradual improvement in both patient safety and quality of care [6, 7]. Perhaps just as importantly, such paradigms led to an increased ability for individuals and systems alike to undergo self-evaluation and self-improvement [8, 9]. As the overall quantity and quality of available clinical scientific evidence increased over time, applications of this knowledge led to enhancements in various clinical processes, directly and indirectly improving the safety record of healthcare institutions that embraced EBM-based models [10, 11].

Any experimental observation suggesting a relationship between two clinical variables constitutes some form of scientific evidence. The “strength” of that evidence is determined by the total number of measurements, the degree of any observed correlation, ability to reproduce results, as well as the methodology used to collect and analyze information [12–14]. It is important to note that the availability of multiple sources of information in a specific area may allow for cross-correlation of results and greater decisional confidence when making recommendations. It then behooves clinicians to understand both the strength of recommendations, which is inherently variable due to heterogeneous methodological approaches, and the applicability of results to a particular patient which is derived through a deeper understanding of how the evidence was obtained [2, 15]. Based on the quality of study design, estimated level of bias, overall validity, and clinical applicability, standardized definitions of “levels of evidence” were introduced to help reduce errors and to make better, more consistent clinical decisions [4, 16]. Tables 1 and 2 demonstrate commonly utilized levels of scientific evidence and grades of recommendation, respectively [17]. Grades of recommendation (GOR) are discussed in more detail in subsequent sections of this chapter.

Currently, the best available evidence in any particular clinical area is heavily dependent on the issue being researched, the difficulty of obtaining adequate data (which may be based on the...
prevalence, incidence, or even our understanding [or lack thereof] of a particular disease), and the type of scientific question being asked (e.g., clinical prognosis, treatment effectiveness, and risk-benefit assessment) [4, 18–20]. However, when it comes to issues of therapy or treatment, randomized controlled trials (RCT) and systematic reviews of RCTs are generally considered to be the “gold standard” with the highest internal validity and least amount of bias [14]. On the opposite end of the spectrum, non-systematic observations, ideas, and editorial opinions made by individual clinicians are considered to be the weakest form of supporting evidence in the context of formulating subsequent recommendations [3, 21]. The hierarchy of LSE, broken down by the type of research endeavor, is presented in Figure 1 [9, 22].

The practice of EBM provides clinicians with a clear, concise course of action, encouraging the formulation of a relevant clinical question, finding and critically assessing the best available evidence, and applying pertinent results into clinical practice with the fundamental goal of improving patient outcomes, safety, and overall quality of care [23–25]. As outlined in Table 1 and Figure 1, available evidence may range from an RCT to isolated observations or opinions of single individual. While all existing evidence is not considered equal, it is critical to

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**LSE** Type of supporting scientific data

<table>
<thead>
<tr>
<th>Grade</th>
<th>LSE</th>
<th>Corresponding recommendation</th>
</tr>
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<tbody>
<tr>
<td>A</td>
<td>Ia, Ib</td>
<td>Grade A recommendations require at least one RCT as part of the overall scientific evidence. In addition, good overall data quality and consistency of results must be present</td>
</tr>
<tr>
<td>B</td>
<td>IIa, IIb, and III</td>
<td>Grade B recommendations require methodologically sound CTs (that are not RCTs) as part of the overall scientific evidence used during the formulation process. Grade B recommendations are based on the most heterogeneous grouping of evidence (IIa, IIb, and III)</td>
</tr>
<tr>
<td>C</td>
<td>IV</td>
<td>Grade C recommendations are usually built upon a careful compilation of expert opinions and/or clinical experiences of respected opinion leaders in a specific topic area. In global terms, Grade C recommendations indicate the absence of high quality clinical studies (e.g., suitable CTs or RCTs)</td>
</tr>
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</table>

CT, clinical trial; LSE, level of scientific evidence; and RCT, randomized clinical trial.

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**Table 1.** Broadly accepted classification of levels of scientific evidence.

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**Table 2.** Grades of recommendation, from highest (A) to lowest (C), are primarily based on the level of available scientific evidence.
understand that all LSEs are important and have their own intrinsic value that corresponds to their level of clinical relevance and overall impact on patient care [26].

In this chapter, we outline different LSEs and associated study designs, followed by a detailed discussion on implementing clinical research findings in the context of GOR. Finally, we consider adaptation of evidence-based practice to improve both quality of care and patient safety across our health systems.

2. Levels of evidence: the importance of study design

Therapeutically relevant clinical research evidence can be broadly categorized into studies of an observational nature and those that have a structured experimental study design [4, 27]. Experimental studies, which include randomized controlled trials (RCTs) and methodologically sound meta-analyses of RCTs, are positioned at the top of the hierarchy (Figure 1 and Table 1) [3]. Although nomenclature may change across different categories of research (e.g., experimental, qualitative, outcome, or descriptive), the fundamental premise of LSE stratification remains the same—an organized progression from “low to high” along the spectrum of internal/external scientific validity (and repeatability) [28–32].

Bias in a study design can confound results of an investigation and lead to misrepresentation of the true implications of the intervention/treatment being studied [33]. An RCT is a clinical trial design intended to minimize bias by randomly allocating study participants to two or more interventions or treatment “arms” [14, 34] and often “blinding” patients and investigators from knowing which intervention an individual is receiving. Within this paradigm, each treatment arm may represent a different drug, device, or a procedure. It may also represent different ways of applying or using a process, device, a procedure, or a placebo. By limiting any opportunity for patients, clinicians, or investigators to choose which arm of the trial the
participants will be assigned to, RCTs effectively minimize bias through the process of randomizing both known and unknown prognostic variables [4, 18, 35, 36]. The above-mentioned “blinding” process thus allows a “less biased” estimate of the treatment effect that has enabled RCTs to revolutionize medical research, achieving the status of “gold standard” for therapeutic research and holding the top position in the EBM hierarchy of LSEs (Table 1 and Figure 1) [37, 38].

Results from RCTs, although considered the most robust and reliable form of evidence, are not always easily translatable or applicable across diverse clinical settings. Moreover, not every medical decision requires data from an RCT [39]. Implementation of RCT findings may be challenging at a single-institution level, primarily because of procedural, work-flow, and other institution-specific factors [2, 40].

Well-designed observational studies are recognized as level IIa, IIb, or III evidence (Table 1) and generally are easier to conduct than an RCT, but still provide meaningful clinical evidence [37, 41]. Additionally, observational studies may lay the foundation for the definitive RCT to be conducted. Cohort and case-control studies are the two primary types of observational studies that can demonstrate important associations between exposure and disease [37]. Placed slightly above case-control studies on the LSE hierarchy, cohort studies can be both prospective and retrospective in nature [37, 42]. Prospective cohort studies observe two groups of populations—one group with the risk or prognostic factor of interest and the second group without [9]. These populations (or groups) are followed over a variable period of time to observe the development of a disease or a specific outcome among those with the risk factor and those without. Prospective cohort studies can be tailored to collect data regarding exposure to any specific or rare disease and can be designed to observe multiple outcomes for any given exposure or intervention [37, 43]. Retrospective cohort studies, on the other hand, are historic in nature and look in to the past to analyze disease development within a specific group of subjects based on their known (or declared) exposure status. Retrospective cohort studies are more economical to conduct compared to prospective studies and take a shorter amount of time to complete, although the results from such studies may be incomplete or inaccurate [37, 44, 45]. They may also have advantages in terms of utilization of large national data sets to help analyze and derive relationships that may answer or pose new clinical questions.

In contrast to cohort studies, case-control studies recruit subjects based on the outcome of interest at the outset of the study [46, 47]. Subjects with a specific outcome are categorized as “cases” and subjects without the specific outcome are categorized as “controls” [47]. Retrospective data regarding the presence of exposure to single or multiple risk factors are then collected from both groups, typically by conducting interviews, surveys, or collecting chart data. Based on the collected data, strength of association between disease and exposure may be determined and provided in the form of odds ratio or relative risk [4]. Case-control studies can provide valuable information about rare diseases or those ailments that have a prolonged latency period [4, 37, 44, 45].

Case series, case reports, and expert opinion constitute the lowest quality evidence on the overall hierarchy of LSE, are inherently retrospective in nature, and most often feature no
control or comparison groups (or cases) [48]. These reports are usually narrow in scope, describe a single population subgroup, and are often based on the experiences of an individual researcher or a single institution. The above-mentioned factors render data within the latter LSEs less reliable, possibly difficult to reproduce, and often non-generalizable when applied to a larger (or different) population. Such studies, however, can provide useful information on rare diseases or unique presentations and complications associated with particular interventions or procedures [4, 49–51].

The practice of EBM requires deep and critical analysis of the entire body of available evidence in a specific area, with more fragmentary assessments being considered improper and inadequate [15, 52]. Systematic reviews are a key component of evidence-based health care, and are defined loosely as “secondary analyses” of a large collection of reported results from individual studies for the purpose of integrating the overall findings [53, 54]. Systematic reviews essentially use data from individual studies (most often RCTs) and “pool” these data together to draw a more robust conclusion regarding the effect of the intervention being researched on specific clinical outcome(s) [4, 19, 55]. The primary aim of systematic reviews is to determine whether an effect exists and if that effect is negative or positive in relation to a specific clinical approach or intervention vis-à-vis a pre-defined outcome [54]. By “pooling” data and results from multiple studies, well-designed systematic reviews can answer questions that cannot be sufficiently answered by any individual study [56]. In addition, this approach clearly demonstrates any discrepancies between apparently conflicting studies. Finally, systematic reviews can also be used to generate new hypotheses [54, 57].

Having described the different levels of evidence, it is important to note that the LSE hierarchy is not “set in stone” and a number of factors determine the validity and strength of any particular research study and consequently the evidence. Key elements within study methodology, such as patient inclusion or exclusion criteria, play a critical role not only in determining the level of evidence attributable to any particular finding but also the applicability and translatable of study results to any particular patient or institutional setting. The recognition of inherent biases based on the study setting, financing source(s), and the appropriateness of the statistical analysis plan is important when determining the validity of results. Subsequent sections of our chapter will provide a practical discussion on the practical application of LSEs in the clinical arena, focusing specifically on patient safety and quality of care as well as the role of different grades of recommendations (GOR’s) in understanding the implementation of evidence in a particular setting or situation.

3. Levels of scientific evidence: clinical applications and examples

In order to better understand how LSEs are relevant to GORs and EBM, some practical clinical examples are provided below to help clarify these important scientific relationships and associations. Further discussion of GORs and implementation paradigms for clinical scientific evidence (e.g., 5A’s, P-D-C-A, Figures 2 and 3, respectively) will then follow, with focus on fostering organizational excellence and a culture of safety [58–60].
Figure 2. Schematic representation of the PDCA (Plan-Do-Check-Act) cycle. Each iteration of the cycle involves a number of procedural checkpoints, with specific sets of associated tasks and critical questions.

Figure 3. The evidence-based medicine cycle begins with Assessment (e.g., determination of need for a new cycle/process). This is followed by Asking pertinent questions (e.g., reasonably answered and searchable issue) and Acquisition of data (e.g., existing literature and targeted de novo gathering of information). The next step is the Appraisal (e.g., critical evaluation of all available data in the context of the primary question and the quality/levels of evidence), and finally, Application of the newly synthesized evidence into existing institutional/patient care matrix. Based on the overall outcome of the currently completed cycle, as well as the institutional needs and areas of focus, the determination of “if/when” to begin next cycle is made [143, 148].
Our discussion will begin with a relatively recent account of clinical investigations into a hypothesized association between silicone breast implants and lymphoma [18, 61–64]. Given the growing number of anecdotal case reports regarding observations of lymphoma following silicone breast implantation, several retrospective cohort studies with large numbers of subjects were conducted, including many years of follow-up data [18, 65–67]. An association was reported in some studies, but no statistically significant conclusion could be drawn, suggesting that in order to demonstrate any linkage between silicone breast implants and lymphoma, a greater LSE will be required. When a high-quality systematic review was performed by combining data from all retrospective cohorts, no significant association was shown between silicone breast implants and the development of lymphoma [63]. This particular story highlights the importance of LSEs and the potential for patient harm (economic, physical, and psychological) when available data are insufficient to make specific clinical management recommendation(s) [68, 69]. At the same time, one might also make an argument that further research is required to increase the certainty of the relationship between variables under scrutiny, but this approach may not be feasible for very rare conditions or occurrences due to various ethical, patient safety, and statistical considerations [18].

Another example where ethical, financial, and patient safety considerations preclude the conduct of any prospective, randomized research is the area of retained surgical items (RSI) [56, 70]. The retention of surgical instruments is an extremely rare complication, and thus, any study of methods to prevent this dreaded occurrence would need to be prohibitively large to have the power to show a statistically significant advantage of any particular approach over another. At the same time, justification for prospectively comparing specific interventions or the differential application of protocols/procedures related to RSI risk is ethically questionable at best. Consequently, a meta-analytic study of all existing case-control reports on the topic of RSI was performed, effectively demonstrating that pooled data from three source studies identified potential risk factors for RSI that were not apparent from each individual study [56]. While source reports individually suggested that between 3 and 6 variables may be associated with greater incidence of RSI [70–72], the combined report showed that 7 of 11 potential risk factors were significantly associated with elevated odds for RSI [56]. The above exercise in knowledge synthesis shows that carefully implemented meta-analytic approaches can result in better understanding of an important area of patient safety.

Moving to a different patient safety topic, case-based experiences from the 1950s led physicians to avoid epinephrine injections during hand/finger procedures due to concerns for ischemic complications [18, 73]. Despite the absence of higher level of evidence, avoidance of digital epinephrine injections was widely practiced and taught during that time. Eventually, a comprehensive review of literature between the years 1880 and 2000 was performed, highlighting 48 cases of digital infarction, 21 of which involved epinephrine injections [73]. Subsequent to that, a number of cohort studies were published, reporting no significant association between digit ischemia and local epinephrine injections [74–76]. Based on the conclusions drawn from studies with higher LSE, the original hypothesis was rejected [18]. This example demonstrates how observational and case studies may be inherently biased and that higher levels of scientific evidence must be available before making any definitive conclusions, accepting evidence as fact, and implementing evidence-based recommendations [18].
In contrast, even well-conducted RCTs are sometimes unsuccessful in swaying medical practice. The University Group Diabetes Program trial, a methodically sound RCT conducted in the late 1960s found lack of efficacy of an anti-diabetic drug tolbutamide compared to diet alone in prolonging life. Furthermore, the study suggested that tolbutamide is less effective than diet alone or diet with insulin as a modulator of cardiovascular mortality [77, 78]. Despite relatively high LSE presented in the study, tolbutamide prescriptions increased, as debate over the trial’s interpretation continued for more than a decade [78–80]. Similarly, the Antihypertensive and Lipid-Lowering treatment to prevent Heart Attack Trial (ALLHAT) showed that thiazide diuretics were as effective as modern (and much more expensive) calcium-channel blockers and angiotensin-converting-enzyme inhibitors in treating hypertension [81]. These finding were questioned by pharmaceutical companies, and after an initial resurgence of thiazide prescriptions following the trial’s publication [82], the sales of newer antihypertensive agents increased [38, 83–85].

All of the above examples show that no single study can provide definitive answers or understanding of therapeutic response, diagnostic test efficacy, or disease-specific risk factors. The struggle continues between the forces of clinical habit, third-party interests, and objective evidence. Policy-makers, opinion leaders, and providers must embrace both open-mindedness and the value of unbiased research in guiding EBM and evidence-based recommendations [86–88]. Likewise, all healthcare providers must be well versed in both the definitions and the application of the concepts of LSE, GOR, and EBM and must recognize that there are multiple factors at play when deciding which evidence is best and how to apply this evidence [87–89]. It has been proposed that misapplication of clinical scientific evidence may be one of the key barriers to sustainable improvement in healthcare quality and safety in a highly complex system with increasingly constrained resources [87, 89, 90].

4. Important limitations

Recommendations from various expert groups are based on different LSEs, ranging from randomized controlled trials to so-called expert opinions, and all come with their own set of limitations that should be considered when transforming research findings into clinical practice. After defining and discussing important aspects pertaining to different LSEs, we will now touch upon some of the pitfalls associated with implementing and following EBM in every day practice.

Introduced as an effort to reduce bias and improve the accuracy of evidence, RCTs have expanded medical knowledge and transformed clinical practice [3]. While RCTs are considered to provide the most internally valid evidence, not all RCTs are methodologically sound and often offer only partial answers. In their “Evidence Based Medicine Manifesto for Better Healthcare,” Heneghan et al. [91] state that “too many research studies are poorly designed or executed. Too much of the resulting research evidence is withheld or disseminated piecemeal. As the volume of clinical research activity has grown the quality of evidence has often worsened, which has compromised the ability of all health professionals to provide affordable, effective, high value care for patients” [91]. In addition, RCTs are very challenging to execute,
are costly, and have long latency periods. This may have important implications during study design, especially when establishing appropriate inclusion criteria or standardizing experimental interventions [3, 4, 18, 38]. Limitations and challenges associated with RCTs have forced physicians to look into alternate study designs that are easier to conduct, take less time to complete, are less expensive, and yield similar results to RCTs [2].

Perhaps the most commonly employed tool that allows researchers quickly and effectively leverage the wealth of existing evidence from various RCTs is meta-analysis [88, 92, 93]. Having said that, systematic reviews including meta-analyses can generate secondary evidence that is only as good as the cumulative evidence provided by primary source studies [15, 52]. Therefore, the validity of evidence from systematic reviews is largely based on the RCTs included, and meta-analyses cannot ameliorate any biases present in source studies [15]. Moreover, systematic reviews and meta-analyses rely solely on published data and evidence, some of which may be published in obscure journals and not easily accessible. In addition, some of the reported data may be limited in scope, with heterogeneous reporting of outcome parameters. This phenomenon is called publication bias, and in order to minimize such a bias, researchers are advised to search literature thoroughly and methodically as well as maintain contact with both study authors/investigators and other experts in the field [15].

Observational studies, including case-control and cohort designs, come with their own set of limitations and biases [94, 95]. Case-control studies draw a comparison between individuals with a condition or disease (cases) and those individuals in whom the condition or disease is absent (controls), optimally in a fixed ratio of cases and controls (e.g., 1:2, 1:3, or 1:4) [14]. Since both groups are compared with respect to their past and present exposures, most of the information provided relies on recall and may end up being incomplete or even untrue [47]. In addition, validation of the collected information may be extremely difficult or not feasible, and a detailed study on the mechanism of the researched disease is rarely possible. On the other hand, cohort studies select a group of individuals with certain characteristics and follow them over a long period of time for the development of a particular disease or outcome of choice [96]. Since cohort studies are usually conducted over extended periods, key challenges include high study costs and ensuring adequate follow-up over a long period of time. Moreover, a sizable group of subjects is required to adequately investigate a rare disease and control of peripheral variables may be incomplete, resulting in increased bias [4, 37, 44, 45]. Finally, it is difficult to accurately account for changes in medical treatment over time, resulting in the emergence of “temporal bias”.

Unsystematic personal observations, prior to the introduction of EBM, have carried great weight in shaping both medical education and practice [15]. We now have a much better appreciation of how these observations may be inherently biased and how much progress was forfeited by perpetuating a system of subjective opinions in our current era of less biased, objective scientific investigation [4]. Although the different limitations of various LSEs discussed above may seem considerable, one must remember that they are dwarfed by the potential harm resulting from unrestricted, non-evidenced practice of yesterday. As long as practitioners and champions of healthcare quality and safety use a healthy degree of informed caution when interpreting published evidence and clinical data, continued progress can be made toward a better and safer, evidence-based medicine of tomorrow [2, 8].
5. Evidence-based practice: focus on quality and safety

The practice of EBM is essential for making safe and effective clinical decisions and is also crucial to promoting quality improvement and ensuring continuous focus on patient safety in healthcare organizations [10, 25, 97]. Research is the foundation of the practice of EBM. It helps drive enhanced health outcomes, promotes standardized approaches to care, and facilitates cost reduction in a resource-limited healthcare system [98–101]. Evidence for beneficial effects of EBM continues to accumulate in a diverse number of allied health and medical areas of specialty, including surgery, critical care, primary care and preventive medicine, internal medicine and subspecialties, obstetrics and gynecology, as well as nursing, hospital administration, health information technology, quality, and patient safety [102–106]. EBM can also be formulated from patient-reported outcomes using established clinical processes such as The Joint Commission Core Measures [107]. In addition, the Agency for Healthcare Research and Quality (AHRQ) developed a series of quality indicators designed to standardize evidence-based care medicine for preventing in-hospital complications that may result in penalties under the auspices of value-based purchasing program [108]. Often, performance in standardized quality indicators can be used to benchmark quality and safety performance in various patient populations [108]. Preoperative prophylactic antibiotics, bowel preparation, and deep vein thrombosis prophylaxis are examples of evidence-based best practices that have been defined and protocolized by organizations and initiatives like Centers for Medicare & Medicaid Services (CMS) and the Surgical Care Improvement Project (SCIP) [109]. Similarly, checklists have revolutionized healthcare across increasing number of settings, as documented by multiple studies demonstrating lower mortality, postoperative complication rates, and enhanced adherence to patient safety procedures [110–115].

Patient safety research focuses on the identification of safety issues (e.g., patient safety gaps) and their subsequent remediation through the study and implementation of new practices and policies [113, 116]. Despite ample descriptive evidence, the implementation of safety practices remains an underresearched subject, with much work remaining before achieving “zero incidence” goals across many adverse event types [9, 117]. Perhaps more troubling is the observation that the gap between research findings and implementation across various clinical settings may indeed be widening [102]. There is an estimated lag time of approximately 17 years from research to implementation in clinical practice [118, 119]. It stands to reason that a better process is required for this much needed translational process to occur more efficiently. For example, since the mid-1800s, the importance of hand hygiene has been a widely accepted fact, as numerous studies have confirmed the significant benefit of this practice. Despite the presence of widespread awareness and institutional guidelines, compliance among healthcare workers and doctors in particular remains low [120, 121]. Dissemination and application of evidence-based safety practices is often met with multiple obstacles and/or outright resistance, both at the individual and organizational levels [8, 106]. In one systematic review of 23 studies of stand-alone teaching of EBM principles in a postgraduate education setting, it was noted that although knowledge increased, behaviors, attitudes, and skills did not change; and a system of interactive teaching strategies was recommended [122]. Development of effective policies based on carefully vetted research evidence constitutes another major barrier to the
actual implementation of evidence into practice, especially within organizations where expert opinion and hierarchical decision-making impose “glass ceilings” toward evidence-based approaches. Moreover, numerous methodological and ethical complexities make research in clinical safety particularly challenging, as patients cannot be subjected to blinding or randomization [102].

It is important to reiterate that EBM is not purely about conducting RCTs and implementing their context-appropriate results into clinical practice. Evidence-based medicine extends to critical decision-making regarding treatment and practices that stem from carefully and thoughtfully considering and weighing “best evidence” [123–125]. Well-designed case-control and cohort studies can prove to be equally effective tools and should be considered for areas where RCTs are simply not feasible or impractical. Lastly, it is every practitioner’s obligation to provide the best available care for their patients and that will continue to be driven by the increasing wealth of available literature [126], hopefully characterized by better LSEs and overall quality of both methodology and data. Practitioners and champions of patient safety must therefore be encouraged to thoroughly search and evaluate published research and thoughtfully consider “best evidence” in an unbiased, holistic manner before committing to any clinical decisions or programmatic implementations.

Clinical pathways and guidelines are used by practitioners to provide a framework of care for specific patient populations to improve outcomes [107]. Clinical guidelines are evidence-based care recommendations for defined populations and assist the clinician in decision-making regarding the patient care plan. Clinical pathways are used to implement the guidelines into practice and represent what has been determined to be the best evidence-based care for most patients [127]. They are typically a written tool and may be facility specific with an overarching goal of minimizing variability and optimizing outcomes. Rotter et al. [128] reviewed 27 studies involving 11,398 participants. Twenty of those studies compared clinical pathways with usual care. Their review identified a reduction in complications and improved documentation. Most studies also reported significant reductions in patient length of stay and thus a favorable impact on associated costs [128].

6. Grades of recommendation

It has long been known that clinical practices based on scientific evidence can only be “as good as” the underlying evidence and judgments [124]. Parallel to the assessment of LSE discussed in previous sections of this manuscript, the need arose for the ability to grade the corresponding recommendations—a necessary step for reconciliation of all of the components of, and internal consistency of, EMB practices [124]. Grading of recommendations has been pioneered by the Scottish Intercollegiate Guidelines Network (SIGN), with subsequent worldwide embrace and adoption of this powerful healthcare quality improvement paradigm [129, 130]. As outlined in Table 2, recommendations are graded on a scale from A (highest) to C (lowest), with the overall goal of careful consideration and weighing of objective and
subjective components of both the available evidence and its corresponding interpretation. It is important to note that different other GOR paradigms have been devised, with the topic being so vast as to warrant its own dedicated chapter and/or book [124]. Finally, another matter that is beyond the scope of the current discussion is the advent of various reporting requirements for different types of studies. The reader is referred to external resources for additional information on this important and increasingly complex subject [131–134].

Another important development in the area of translating evidence into practice was the introduction of the GRADE (Grading of Recommendations Assessment, Development, and Evaluation) approach [135, 136]. In the GRADE paradigm, evidence is assessed in terms of both its certainty (e.g., quality) and strength of the corresponding clinical recommendation(s) [135, 137]. In terms of practical applicability of the GRADE system, quality of evidence and the corresponding definitions are provided in Table 3 [138]. A multi-tiered system, examining specific evidence-related factors and criteria in the context of their influence on the direction and strength of the recommendation, is then employed to help with clinical implementations and translations of research data [139]. Since its introduction, the GRADE paradigm provides a well-organized and objectivized framework for evaluating the relative importance of research outcomes and alternative clinical approaches, and summarizing evidence for systematic reviews and clinical practice guidelines [139].

Table 3. Quality of evidence assessment definitions, as utilized in the GRADE approach [138].

<table>
<thead>
<tr>
<th>Level</th>
<th>Description</th>
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<tbody>
<tr>
<td>High</td>
<td>High level of confidence regarding the true effect being close to that of the estimate of the effect</td>
</tr>
<tr>
<td>Moderate</td>
<td>Moderate level of confidence regarding the effect estimate. In other words, the true effect is likely to approximate the estimate of the effect, but non-trivial possibility exists of a “substantial difference”</td>
</tr>
<tr>
<td>Low</td>
<td>There is low overall confidence that the effect estimate reflects the true effect. In other words, the true (actual) effect may be substantially different from the estimated effect</td>
</tr>
<tr>
<td>Very low</td>
<td>There is very little confidence that the effect estimate reflects the true effect. In other words, the true effect is likely to be substantially different from the estimated effect</td>
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7. Synthesis: putting evidence to work, one improvement cycle at a time

The entirety of our previous discussion revolved around the levels of scientific evidence, various aspects of their interpretation and implementation, as well as grades of recommendations outlined in the overall context of EBM-based discussion. At this point, it will be important for the reader to become familiar with some of the methodologies employed in healthcare quality and patient safety improvement efforts. It is critical to emphasize that these approaches not only rely on EBM for planning and assessment but also help modify our existing EBM patterns through a continuous process improvement cycle. While evidence-based medicine has focused on providing the most recent evidence-based care for patients, quality improvement has focused more on the way we provide that care [140]. The evidence must be reviewed to ensure that it is indeed the right care while there also needs to be a clinical improvement
process to implement the change or evidence-based care. The two most common formats used in the areas of healthcare quality improvement and patient safety are the PDCA (or Plan-Do-Check-Act, Figure 2) and the 5A’s (Assess-Ask-Acquire-Appraise-Apply, Figure 3) methodologies [141–147]. The goal of these performance improvement approaches is to achieve the desired results and continue on to another part of the process [107].

8. Conclusion

Evidence-based medicine continues to evolve into a practical way of integrating feedback from process outcomes and research results into clinical practice, assisting practitioners globally in providing optimal care for their patients. Understanding the different levels of evidence and the strength of recommendations is an integral component of EBM and helps guide decision making, but must consistently be interpreted in the context of sound clinical judgment and a strong therapeutic relationship with our patients. Champions of patient safety and care quality should be familiar with and comfortable in the application of the above concepts in their everyday practice. In addition, excellent knowledge of established standards for reporting evidence, as well as key methodologies used in the process of guideline implementation, will help guide clinicians toward providing the highest quality, safest possible care to their patients. It is crucial to understand that no single study should be accepted as “fact” nor should any study be disregarded based purely on its LSE. Instead, deliberate efforts should be made to critically analyze recommendations and apply them judiciously, after careful consideration of all available evidence has been made in the context of each specific clinical situation and setting. It is essential that healthcare institutions undergo a cultural transformation to ensure that evidence-based safety practices are introduced, effectively implemented, and allowed to achieve their full potential and intended impact [101].

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